

DERBY CITY DISCLOSURES



LOUISVILLE IIA CHAPTER

March, 2004

PRESIDENT'S MESSAGE

Greetings,

Thank you to all the Louisville Chapter members and guests that attended the February meeting. Heather DiEnno, chapter Vice President, did a wonderful job coordinating the topic and the speaker.

Mark your calendar for our next regular monthly meeting to be held March 9, 2004 at Vincenzo's. David Beyer from the Louisville office of the Federal Bureau of Investigation will be presenting "Security in the Workplace, Terrorism and You." This may be an excellent topic for your safety, security and human resource team members.

A special thanks is extended to David Barker, University of Louisville Audit Director for representing our local chapter and the internal audit profession at the February meeting of the Beta Alpha Psi Louisville Chapter. David is our local chapter Academic Relations Chairperson and has represented our chapter over the past years to local universities.

I am happy to announce that we have filled all but two of the officer/chairperson positions for the next fiscal year. We continue to look for a chapter vice-president & seminar chairperson. If you or someone you know is interested in volunteering, please contact one of the officers or myself.

2004-2005 Officers and Chairperson:

President – Heather DiEnno

Vice-President – Open

Treasurer & CIA Program - Bob Aemmer

Secretary & Newsletter - Michele Martin

Webmaster - Bruce Edwards

Academic Relations – David Barker

Audit – Cheri Jones

Membership – Jorene Logan

The winners from the ½ day seminar surveys are Bob Kiesler and Mike Norman. Each will receive one free lunch certificate redeemable at our regular local chapter meetings. Thank you to all that responded.

"Beannachtam na Feile Padraig" – that's Irish for "Happy St. Patrick's Day"

John Kilroy
President

NEXT MEETING:

Tuesday, March 9, 2004 at **Vincenzo's Restaurant**

Security and the Workplace, Terrorism and You

MARCH MONTHLY MEETING

Security and the Workplace, Terrorism and You

David J. Beyer
FBI

Tuesday, March 9, 2004
12:00 noon, 11:30 registration

1 CPE hour
(certificates available upon request)

Vincenzo's Restaurant
105 S. 5th Street, Louisville, KY
(parking will NOT be validated)

Menu selections:

Fish Sandwich
Tortellini
Caesar Salad w/Chicken

Cost: \$20.00
Use PayPal at www.ialou.org or remit at meeting

RSVP by Friday, March 5, 2004

Carrie Ramsey
Office: 627-4738
Fax: 627-2550
Carrie.ramsey@lgeenergy.com

About David . . .

From 1981 to 1985, Mr. Beyer was an Associate in the Louisville Law Firm of Nold, Mosley, Clare, Hubbard and Townes. In 1985, he joined the Federal Bureau of Investigation. After 4 months of training at the FBI Academy in Quantico, Virginia, he was transferred to the Oklahoma City Office of the FBI where he was assigned to a White Collar Crime Squad. In addition, he was a member of Special Weapons and Tactics Team after receiving specialized training.

In 1989, he was promoted to Supervisor and transferred to FBI Headquarters in Washington, D.C. where he served in the Legal Counsel Division for two years and later two years in the Undercover and Sensitive Operations Unit.

In 1993, he was selected as the Chief Division Counsel of the Kentucky Office of the FBI and continues to serve in that capacity. As Chief Division Counsel he is responsible for providing legal guidance to the Agents and management of the Kentucky FBI as well as providing continuing legal instruction to the Agents. He also serves as the media spokesperson for the Kentucky FBI.

He has been a speaker at the FBI Academy on the topics of Undercover Operations and Electronic Surveillance. He has also been a guest instructor at the International Law Enforcement Academy in Budapest, Hungary as well as an instructor at an international training initiative sponsored by the U.S. State Department in Kristinau, Moldova and Bucharest, Romania. Mr. Beyer has been an instructor at various law enforcement conferences and training seminars throughout Kentucky.

In 1999, Mr. Beyer was awarded the U.S. Attorney Award for Public Service for his development of a witness protection plan for the Western District of Kentucky.

PayPal is a quick and simple way to pay for monthly IIA meetings. From the chapter web site (www.ialou.org), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Carrie Ramsey** with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

CHAPTER NEWS

Visit www.iiialou.org for more details!!

WELCOME NEW MEMBER!

We have three new members this month! Please welcome Dwayne Dowell, Brad Hendren of Genlyte Thomas Group, and Steven Zagar of Fifth Third Bank.

MONTHLY MEETING REMINDER

Please remember to RSVP to Carrie Ramsey for any monthly meetings you will be attending. **BUT**, if you register to attend the monthly chapter meeting and later decide you need to cancel your reservation please contact Carrie Ramsey **by noon on the Friday** prior to the meeting. The chapter is charged for the number of lunches submitted to Vincenzo's regardless of the number of individuals that actually attend.

CISA EXAM REVIEW CLASS

The Kentuckian Chapter of the *Information Systems Audit and Control Association* (ISACA) is pleased to announce that it will be sponsoring a *Certified Information Systems Auditor* (CISA) exam review class on Saturday, March 27 and Sunday, March 28. This "weekend blitz" will be taught by Trony Clifton, current CEO of Mandem Consulting in New York, NY. See the last pages of this newsletter for more information on the class and Trony's professional credentials and accomplishments.

2003 – 2004 MEETING SCHEDULE

Louisville Chapter

- | | |
|---------|--|
| 3/9/04 | Security and the Workplace, Terrorism and You.
FBI |
| 4/13/04 | Business Continuity – State and Local Government Response to Businesses following a Local or Regional Disaster
Charles Bogart – KY Disaster Emergency Services |
| 5/11/04 | Networking
Leslie Goldhill – <i>Ajilion</i>
Ohio River Lunch Time Cruise Riverboat |

ADVERTISEMENT

As the eighth largest public accounting and consulting firms in the United States, Crowe Chizek offers specialized services with a client focused, relationship based culture. By continually delivering on the Core Ideology: "Building Value with Value," our Internal Audit Services have established a position of leadership across the nation.

We are currently seeking experienced staff and management level professionals to lend their expertise in a wide variety of risk management and internal audit disciplines. These roles will make a significant contribution to the overall development of business infrastructure including methodology and business development. We are looking for candidates with strong backgrounds in either operational or IT audit as well as a Bachelor's degree, CPA, CIA or CISA certification and experience in various risk management roles.

Please forward you resume to:
Crowe Chizek and Company LLP
Firmwide Recruitment
Email: cbrens@crowechizek.com

Visit our website: www.crowechizek.com



ADVERTISEMENT

Yum! Brands, Inc

Senior Internal Auditor - responsible for planning, organizing, supervising, and conducting internal audit assignments and special projects including the development of the audit planning memo, audit program, risk assessments, and the audit report. The Senior Auditor will also be responsible for the quality and completeness of all audit findings and supporting workpaper documentation.

Bachelors degree in Accounting or Finance from a four-year university; MBA preferred; 5+ years public accounting experience with a national or regional public accounting firm or 4+ years internal audit experience and 2+ years public; 2+ years supervisory experience including personnel evaluation, project budgeting and project planning; CPA or CIA certification is preferred; working knowledge of the Sarbanes-Oxley act is a plus.

Internal Auditor - responsible for planning, organizing, supervising, and conducting internal audit assignments and special projects including the development of the audit planning memo, audit program, risk assessments, and the audit report. The Auditor will also be responsible for the quality and completeness of all audit findings and supporting workpaper documentation.

Bachelors degree in Accounting or Finance from a four-year university; 5+ years public accounting experience with a national or regional public accounting firm or 4+ years internal audit experience and 2+ years public; 2+ years supervisory experience including personnel evaluation, project budgeting and project planning; CPA or CIA certification is preferred; working knowledge of the Sarbanes-Oxley act is a plus.

For more information, contact Jeramie O'Bryan at 502-874-8691 or jeramie.o'bryan@yum.com.

NATIONAL NEWS

Visit www.theiia.org for more details!

Practice advisories updated to reflect new Standards

[Practice Advisories](#) (formerly Guidelines) are endorsed guidance and help to interpret the [International Standards for the Professional Practice of Internal Auditing](#). They include guidance on topics such as environmental issues, control self-assessment, information technology, government auditing, and many others. All Practice Advisories are submitted to The IIA's Professional Issues Committee for formal review. They were updated Feb. 12, 2004 to reflect the newly issued IIA Standards.

New Members Link and Leaders Link now online

The February/March edition of Members Link includes details about the 2004-05 nominees, how members can be eligible to earn US \$100 in a contest, and other global headquarters news. Read it today in [English](#), [French](#), or [Spanish](#). IIA leaders are encouraged to share new International Standards and Practice Advisories, to submit IMAP applications before the March 31 deadline, and to tap into the resources of our Partners-in-Progress. Read Leaders Link today in [English](#), [French](#), or [Spanish](#).

Make it easy; they will come

Corporations are liable for the criminal and fraudulent acts of their employees. In the latest issue of [Internal Auditor](#), authors Carol Johnson and Charlotte Wright discuss how corporations can mitigate that risk by demonstrating a solid internal corporate compliance program for employee reporting.

Strategies for working more effectively with recruiters

Managing a job search can be difficult and time-consuming under the best of circumstances. In fact, it can be a full-time job. If you're looking for a new position, a recruiting firm can complement your efforts by helping to extend your network and market your skills to prospective employers.

NATIONAL CALENDAR

MARCH, 2004

1 – 5 Seminars, Baltimore, MD
8 – 12 Seminars, Orlando, FL
31 – 4/2 Seminars, Las Vegas, NV

APRIL, 2004

5 – 9 Seminars, Las Vegas, NV
19 – 23 Seminars, Atlanta, GA
26 – 30 Seminars, San Antonio, TX

ADVERTISEMENT

Compliance Auditor

Kentucky Housing Corporation is seeking a Compliance Auditor. Position requires audit experience or knowledge of affordable housing programs. In-state travel required. Salary Range: \$30,459-\$48,655.

Please send resume and salary history to Human Resources Director, KHC, 1231 Louisville Road, Frankfort, KY 40601.

For additional information, please visit www.kyhousing.org.
An Equal Opportunity Employer M/F/D/V

ADVERTISEMENT

OPERATIONS AUDITOR

LG&E Energy LLC is looking for experienced applicants to provide independent, objective assurance and value added consulting for improvement of the organization's operations including health and safety, engineering, systems, and environmental areas.

The successful applicant will hold a bachelor's degree in an operations related area, or an alternate combination of education and experience which results in equivalent job knowledge; professional certification and/or MBA/master's degree desired; three or more years' business or operational experience (utility experience preferred).

Please email your credentials and salary requirements to strategicstaffing@lgeenergy.com and reference Operational Auditor #**5910** in the subject line of your response.

OFFICERS

President
John Kilroy
Contractor - Commonwealth
Industries
(502) 741-2056
stmfd2621@aol.com

Vice President
Heather DiEnno
Integrity Companies
(502) 582-7946
hdienna@chanllc.com

Treasurer
Bob Aemmer
(502) 627-4033
LG&E Energy Corp.
Bob.aemmer@lgeenergy.com

Secretary
Michele Martin
Equity Residential Properties
(502) 477-0691
mmartin@eqrworld.com

Webmaster
Bruce Edwards
University of Louisville
(502) 852-6071
Bruce@bruceedwards.com

CHAIRPERSONS

Academic Relations
Dave Barker
University of Louisville
Dfbark01@louisville.edu

Audit
Cheri Jones
University of Louisville
Cijone01@louisville.edu

CIA Program
Bob Aemmer
LG&E Energy Corp.
Bob.aemmer@lgeenergy.com

Government Relations
OPEN

Membership / Attendance
Jorene Logan
LG&E Energy Corp.
Jorene.logan@lgeenergy.com

Newsletter Editor
Michele Martin
Equity Residential Properties
mmartin@eqrworld.com

Seminars
OPEN

GOVERNORS

James Rose
Humana, Inc.
Jrose1@humana.com

Don Whitehouse
Commonwealth Industries
Don.whitehouse@cacky.com

Michele Welscher
KHEAA / KHESLC
mwelscher@KHEAA.com

HAPPY St. PATRICK'S DAY!

The Kentuckian Chapter of the *Information Systems Audit and Control Association* (ISACA) is pleased to announce that it will be sponsoring a *Certified Information Systems Auditor* (CISA) exam review class on Saturday, March 27 and Sunday, March 28. This "weekend blitz" will be taught by Trony Clifton. Trony is currently the CEO of Mandem Consulting in New York, NY. See below for more information on Trony's professional credentials and accomplishments.

The CISA Exam

As the study period for this year's CISA Examination begins you will want to make sure that you have the tools necessary to make your study hours as efficient and effective as possible. The CISA Review Course offered by the Kentuckiana Chapter contains some of the best aids in studying and preparing for the exam. The course briefly reviews the major areas as described in the ISACA CISA Bulletin of Information, which includes recent

Information Systems technology developments. Specifically, the course will cover the exam's following areas:

- ✦ The IS Audit Process (10%)
- ✦ Management, Planning, and Organization of IS (11%)
- ✦ Technical Infrastructure and Operational Practices (13%)
- ✦ Protection of Information Assets (25%)
- ✦ Disaster Recovery and Business Continuity (10%)
- ✦ Business Application System Development, Acquisition, Implementation, and Maintenance (16%)

The Kentuckian Chapter Sponsored Review Class

The CISA Review Training course offered by Mandem Inc. has several benefits to which all students will have access. Trony Clifton, the instructor, has over eight years of teaching the CISA review course and has developed proven studying methods that assist candidates in passing the exam. Some of those methods include:

- Customized power point slides that go through applicable material needed to pass the exam
- Various audit programs and articles that are helpful in the studying process
- Web-based multiple choice software with over 800 multiple choice questions
- Access to a secure forum to ask questions and obtain answers about the upcoming exam
- On-going support and encouragement from the Instructor until the exam
- Detailed analysis of the types of questions that were on the previous exam

Dates: March 27 & 28

Time: 8:00 am – 5:00 pm
Continental breakfast served at 7:30 and Lunch is included

Note: Students are expected to come to class with all Power Point Presentation handouts available on the secured web site at www.mandem.com.

Location: **Hyatt Regency Louisville**
320 West Jefferson
Louisville, Kentucky, USA. 40202
Telephone: +1 502 587 3434
Fax: +1 502 581 0133

CPE Credits: 12

Fees: Members: \$165.00 USD
Non-Members: \$195.00 USD
Students: \$100.00 USD
Early Registration (by March 5, 2004)
Members: \$135.00 USD

Non-Members: \$165.00 USD

Preferred Payment Method: PayPal (Please see below)

Contact: Debbie Shelton
Phone: 502-627-4614
Email: debbie.shelton@lgeenergy.com
Web: <http://www.isauditor.net/isaca/index.htm>

PayPal

PayPal is a quick and simple way to pay for the Kentuckiana Chapter's CISA Review Course. From the chapter web site (<http://www.isauditor.net/isaca/index.htm>), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentuckiana ISACA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Debbie Shelton** to register. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal. The Paypal Payment ID is PaypalISACA@ISAuditor.net ("Paypal" and "ISACA" run together).

Introducing Trony Clifton

In addition to holding the Certified Information Systems Auditor designation, Trony is an active board member of the New Jersey ISACA Chapter, and has taught the Chicago ISACA CISA Review course, as well as the CISA review course for the North America CACS conference. Trony currently teaches for the Atlanta, New Jersey, Mexico City and Beijing China chapters. In his tenure as a CISA Instructor, Trony's passing rate has ranged from 72 to 80 percent passing. In 2002 two of Trony's students, one from Atlanta and one from New Jersey, obtained the highest CISA scores in their respective states.

During the past several years Trony has been involved with reviewing and updating the ISACA CISA Review Technical Manual. He was also a Board member of the Test Enhancement Committee from 1996-1999, a committee that reviews all multiple-choice questions before being placed in the CISA examination test pool.

Trony holds a BS degree from the University of Wisconsin-Madison. His undergraduate degree includes both MIS and Accounting. He has 12+ years experience as an IS Auditor.

ADVERTISEMENT POLICY

FREE recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee of \$50 for ½ page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising / posting. For more information, contact the IIA Louisville Chapter President at president@iialou.org or Secretary at secretary@iialou.org.