

DERBY CITY DISCLOSURES



LOUISVILLE IIA CHAPTER

February, 2005

PRESIDENT'S MESSAGE

Dear Members,

January was a busy month for our chapter! We heard from Norm Williams on detecting fraud and the chapter held a two day seminar on Microsoft Access. Dr. Mark Nigrini was a wonderful speaker and provided a valuable presentation on Access. In addition, our chapter reached silver status. Thanks for everyone's hard work for helping our chapter achieve this status. We will continue toward achieving gold status.

The growing problem of identity theft, phishing, and spyware has increased concern over privacy and protection of personal information. At our February meeting, Mike Cook will discuss the Privacy Act, its implication for technologies such as data mining and extensive wireless networking, and new legislation.

It's time to start thinking about chapter leadership for the next chapter year. If you have an interest in a leadership position, please contact me and I will be happy to discuss the opportunities available.

I hope to see you at the February meeting!

Heather DiEnno
Chapter President

NEXT MEETING:

Tuesday, February 8, 2005

at the **Bristol Downtown**

[Privacy Act](#)

See page 2 for details

FEBRUARY MONTHLY MEETING

Privacy Act

Mike Cook
The Cook Group

Tuesday, February 8, 2005
12:00 noon, 11:30 registration

1 CPE hour
(certificates available upon request)

Bristol Bar & Grille Downtown
614 West Main Street
(parking will NOT be validated)

Cost: \$20.00
Use PayPal at www.iiailou.org or remit at meeting

RSVP by THURSDAY, February 3, 2005

Carrie Ramsey
Office: 627-4738 Fax: 217-2447
Carrie.ramsey@lgeenergy.com

Menu selections:

CLASSIC CAESAR
with grilled chicken

HOT BROWN
*Turkey breast with bacon and tomato on
French bread, baked with Mornay sauce*

SICILIAN CHICKEN
*Chicken breast dusted in flour, sautéed and
topped with a sauce of artichokes, mushrooms
and Marsala wine*

About Mike . . .

Mike has been in the audit and security areas of Information Technology for over 30 years. His work currently includes IT Audit and Security for the Banking Industry, as well as Sarbanes-Oxley documentation and testing for public companies, and preparation of Third Party Reviews, (SAS-70's), for service organizations and software developers. He also performs SysTrust's, an Information Technology attestation service licensed by the American Institute of CPA's, for clients offering IT services.

He has numerous certifications including Certified Information Systems Security Professional, Certified Public Accountant, Certified Information Systems Auditor, Certified Internal Auditor, and Microsoft Certified Systems Engineer. He has been practicing in the Kentucky area for 15 years, after working in Europe and New York City. He holds a Bachelor's degree in Accounting and Computer Science from the University of Nebraska-Lincoln, and an MBA from the Graduate School of Business at Columbia University in New York City.

His community service has included work with the Louisville Deaf Oral School, Susan G. Komen Foundation, Planned Parenthood, Big Brothers / Big Sisters, and many St. Matthews, Kentucky community organizations.

PayPal is a quick and simple way to pay for monthly IIA meetings. From the chapter web site (www.iiailou.org), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Carrie Ramsey** with your menu choice. *All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.*

CHAPTER NEWS

Visit www.ialou.org for more details!!

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WELCOME NEW MEMBERS

Six people have decided to start off the new year as a member of our chapter! Please welcome: JoAnne Allen of Jefferson Wells International; Kevin Fisher and Jennifer Hebble of ResCare; Yuan Gao of Harding Shymanski & Company; Brian Madonia of Vectren; and Daniel Simms of Churchill Downs.

2005 CISA EXAM AND "WEEKEND BLITZ"

ISACA will administer the 2005 CISA exam on Saturday, June 11. The 200 multiple-choice exam will be held in one four-hour session.

In addition, the Kentuckiana ISACA Chapter will be conducting a "Weekend Blitz" CISA study review session on March 12 & 13. Trony Clifton will be the instructor. See page 6 for more details.

MONTHLY MEETING REMINDER

Please remember to RSVP to Carrie Ramsey for any monthly meetings you will be attending. **BUT**, if you register to attend the monthly chapter meeting and later decide you need to cancel your reservation please contact Carrie Ramsey by noon on the THURSDAY prior to the meeting. The chapter is charged for the number of lunches submitted to the Bristol regardless of the number of individuals that actually attend.

SUGGESTIONS?

We are constantly working on ways to improve your chapter of the IIA. If you have ideas of how your chapter can enhance your career or experience with the IIA, please contact any of the officers listed on the side of this page. We welcome any comments or suggestions to better serve you and your needs.

CHAIRPERSONS

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NATIONAL NEWS

Visit www.theiia.org for more details!

Headlines from www.theiia.com . . .

Join IIA in support of tsunami recovery efforts

Members of The IIA's global headquarters staff have made donations to the International Committee of the Red Cross (ICRC) to help with recovery. We encourage IIA members and affiliates around the world to [join in support of the ICRC](#) or other agencies involved in legitimate recovery activities.

Look for November CIA exam results in the mail

Results for the November 2004 Certified Internal Auditor (CIA) exam have been mailed directly to candidates in the United States and Canada and via express mail to local affiliates outside North America for quicker distribution. Grade information will not be released by phone, fax, or e-mail until Jan. 28. Grades will not be posted to the Web site.

New year rolls out reorganization of IIA headquarters

The IIA's global headquarters has been reorganized to more aptly reflect the professional association's goals and objectives.

IIA announces regional and district rep nominees

The IIA has announced the [2005-2006 regional and district representatives](#) to serve affiliates in Canada, the United States, and the Caribbean. These candidates will be elected at The IIA's North American Membership Meeting on May 2 at the Hilton Walt Disney World Resort in Orlando, FL.

NATIONAL CALENDAR

FEBRUARY, 2005

14 – 18
Seminars,
New Orleans, LA

21 – 25
Seminars,
San Diego, CA

MARCH, 2005

2 – 4
Seminars,
Las Vegas, NV

7 – 1
Seminars,
Las Vegas, NV

21 – 25
Seminars,
Orlando, FL

ADVERTISEMENT POLICY

FREE recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee of \$50 for ½ page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising / posting. For more information, contact the IIA Louisville Chapter President at president@iialou.org or Secretary at secretary@iialou.org.

2004 – 2005 MEETING SCHEDULE

Louisville Chapter

2/8/05	Privacy Act Mike Cook The Cook Group	4/12/05	Economics of Baseball Anthony Ward – Comptroller, Cincinnati Reds
3/7/05	Seminar w/ Central KY Chapter Interview & Interrogation Techniques Walter Palmer	5/13/05 <i>friday</i> <i>noon</i>	Auditing the Gaming Industry Brian Johnson – Churchill Downs <i>at The Turf Club, Churchill Downs</i>
3/8/05	Forensic Accounting Tim Snoddy Snoddy Consulting		

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In our corporate office in **Louisville, Kentucky**, you'll earn a competitive salary, while enjoying a comprehensive benefit plan including a 401(k). Our modern corporate headquarters includes an on-site fitness center, full-scale cafeteria, beautiful courtyard, next door childcare and state-of-the-art hardware and software provided to all employees.

We are recruiting the following positions in our Corporate Audit Department:

Internal Auditor - This position is responsible for performing financial and internal control audits of the company's nursing centers, pharmacies, hospitals, hospital cost reports, and/or corporate office operations. The position requires up to 20% travel to our facilities that are located nationwide. A minimum of two years of internal auditing, public accounting, or Medicare/Medicaid cost reporting experience is necessary. A Bachelor's Degree in Accounting or Finance is required. A CPA and/or CIA certification is a plus.

2 Information Systems Auditors - Major Tasks, Duties and Responsibilities include planning, conducting, and reporting on comprehensive business process and IT business system audits; develop an audit plan for each audit project and reviews with audit management the objectives, risks/exposures, and scope; determine the scope of the audit on all jobs to which assigned; expands or decreases the audit tests commensurate with the audit findings; understand and coordinate IT audit requirements to address general controls (e.g., operating system and networking environment risks). A BS/BA degree in Information Systems, Accounting, or other related field; A Master's degree or other appropriate advanced education is desirable. A minimum of 1-2 years of demonstrated work experience in related fields. Professional certification (i.e. MCSE, CPA, CISA, MBA, CIA, CISSP) highly desirable.

These positions are excellent opportunities to gain valuable operational experience and to develop expertise in a department filled with career enhancement opportunities. Successful candidates for these positions will be dynamic, self-motivated individuals who think creatively and are able to thrive in a challenging work environment that rewards performance.

If you or someone you know would be interested in these opportunities with Kindred, please contact us at the following address:

KINDRED HEALTHCARE, INC. –
ATTN: MS. TERRI MONTGOMERY
680 SOUTH FOURTH AVENUE
LOUISVILLE, KY 40202

Fax: (502) 596-4722 or Email to Terri.Montgomery@KindredHealthcare.com

CISA REVIEW

(continued from page 3)

Once again in 2005, the Kentuckiana ISACA Chapter will be conducting a CISA study review session in a weekend blitz on March 12-13. If you are planning to take the CISA exam and are interested in the study review, please contact Lisa Buckner at lisa.buckner@lgeenergy.com or (502) 627-3139. Registration materials and additional information can be obtained from Lisa, as well.

CISA EXAM

The Information Systems Audit and control Association's (ISACA) Certified Information Systems Auditor (CISA) is one of the oldest (around since 1978), biggest (over 30,000 certified CISAs around the world), and best-known certifications around.

CISAs specialize in numerous topic areas related to systems audit and information security, including:

- The information systems audit process
- Management, planning and organization of information systems
- Analysis and review of technical infrastructure and operational practices
- Protection of information assets
- Disaster recovery and business continuity planning and implementation
- Development, acquisition, implementation and planning of business application systems
- Evaluation of business processes and risk management practices

ISACA will administer the 2005 CISA examination **Saturday, June 11**. Composed of 200 multiple-choice questions, the exam will be held in one four-hour session. The 2005 exam fee is \$385 for members and \$505 for nonmembers. **A \$50 discount is applied for registration by February 2. The registration deadline is March 30. All candidates can save \$35 on the registration fee if they register for the exam online at www.isaca.org/examreg.**

For more information on this program, plus pointers to information about requirements, training materials, practice exams, and more, please visit www.isaca.org/cisa.htm (the certification FAQ on the site is particularly helpful).