

DERBY CITY DISCLOSURES



LOUISVILLE IIA CHAPTER

September 2007

PRESIDENT'S MESSAGE

HAPPY 60th ANNIVERSARY!

Dear Louisville IIA Members and Non-Members,

Welcome back to our new chapter year. Officers have been working hard to plan activities for the year. Meetings and seminars have been tentatively planned. I appreciate the members' input into our chapter's member survey. It proved helpful in understanding member needs. This year, up to four of our meetings will be held outside of downtown Louisville, to provide some variety and support members working outside of the downtown area. Unless noted otherwise, other meetings will continue to be held at the Bristol Bar & Grille Downtown on Main Street.

Our first meeting this year will be a special one to celebrate our chapter's 60th anniversary. The Louisville chapter was organized on June 6, 1947. Only 14 other chapters can boast of existing longer than us. Please mark your calendars for September 12 to attend this special celebration/member appreciation dinner meeting at Masterson's restaurant. The celebration will be held from 6 to 8 p.m. and Mr. Tom Bohn, IIA's Vice President of Relationship Management, will be our guest speaker. Members, spouses, and guests are encouraged to attend this first meeting of the chapter year. I'm looking forward to seeing each of you in September.

Roger LeMaster
Chapter President

ADVERTISEMENT POLICY

FREE recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at president@iialou.org or Secretary at secretary@iialou.org.

SEPTEMBER MONTHLY MEETING

60th Anniversary Celebration!

Thomas M. Bohn, CAE
Vice President of Relationship Management
The Institute of Internal Auditors

Wednesday, September 12, 2007

6:00 PM, 5:30 PM registration

1 CPE hour

(certificates available at meeting or contact [Tim Brown](#))

Masterson's Restaurant

Location: Atrium A
1830 South 3rd Street

**Cash bar available from 5:00 – 9:00 pm.

Cost: \$25.00

Use PayPal at www.iialou.org or remit at meeting

Please RSVP by

Tuesday, Sept 4, 2007 to

Tim Brown

Office: 627-4580 Fax: 217-4003

Tim.Brown2@eon-us.com

Buffet includes:

*Bow tie pasta salad with sun dried
tomatoes and artichokes*

*Baked chicken parmesan over angel hair
pasta*

*Sliced roasted round of beef with bordelaise
sauce.*

About Thomas . . .

Thomas Bohn is a self-described "consummate Gen X-er" who began his passion for generational management issues as part of his MBA thesis at the University of Central Florida. His love for this topic, which he describes as the "Politically Correct Measure of Diversity", has continued to grow throughout the years. He frequently speaks to groups on his generational perspectives and branding and leadership topics, and has written several articles on the subject for various publications.

Mr. Bohn is a Certified Association Executive with many years of association experience at local, regional, and international not-for-profits and professional associations.

PayPal is a quick and simple way to pay for monthly IIA meetings. From the chapter web site (www.iialou.org), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact [Tim Brown](#)**. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

Louisville CHAPTER NEWS

Visit www.iialou.org for more details!!

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WELCOME NEW MEMBERS

Eighteen new members! Please welcome: Stephanie Childress, Todd Lambert, & Charlotte Barrett of Humana; Julie Taylor of MidCountry Financial Group; Jeanne Peterson & Toni Cross of Crowe Chizek & Company LLC; Jayme Gibson of Southern Star Central Gas Pipeline; Kim Rothwell, Kathleen Fripp, Alice Woodward, Susan Crump, & Lisa Perkins of iPay Technologies LLC; Thomas Brevig of The Seelbach Hilton; Brigitte Wayne of Integra Bank; Pat Killeen of Agape/Vanguard M&T Inc.; James Hickman & Lisa Keels of E.ON US LLC; and Tonya Walker.

SHRED-A-THON

The Louisville IIA Chapter is pleased to announce that we will be having a Shred-A-Thon at Louisville Slugger Field on Saturday, September 8, 2007. The event will be held from 9:00 am to 12:00 noon. The event is part of the 60th anniversary celebration of our Chapter. Iron Mountain has graciously agreed to provide some trucks for this event. This event is geared for small business owners and individuals. Please inform your friends and family members of this event. If you have any questions, please call Dwayne K. Dowell CIA, CPA for information at 502.657.6428 or dkdowell@dkdcpa.com

CIA EXAM REMINDER

Parts 1 & 2 of the last paper and pencil CIA exam will be given on Wednesday, November 14; parts 3 & 4 will be given on Thursday, November 15. The registration deadline is September 30, 2007. We are also looking for Certified Internal Auditors interested in proctoring a part of the exam. For more information regarding the exam or proctoring a part, contact Bob Aemmer at bob.aemmer@eon-us.com or visit www.iialou.org.

DID YOU KNOW...

You can sit for the CIA exam prior to satisfying your two year experience requirement? For more information refer to the IIA's website at www.theiia.org

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2007-08 Calendar of Events

Louisville Chapter of the IIA

*additional seminars to be added as confirmed

September 8, 2007	Shred-A-Thon with Iron Mountain @ Slugger Field
September 12, 2007	Louisville Chapter 60th Anniversary @ Masterson's Restaurant
October 9, 2007	Marion Gee, MSD - Audit Committee Relationships
October 15, 2007	Seminar – John Hall - Fraud – Advanced Concepts
November 13, 2007	Drew Chambers, Montjoy & Bressler - SOX 404 Accounting Standard #5
December 11, 2007	Clark Spader, Papa Johns International
January 8, 2008	Joint Meeting with the Lexington IIA Chapter
January – TBD	Student Mixer @ the University of Louisville campus
February 12, 2008	Bob Miller, Louisville Water Company - Pandemic Planning
March 11, 2008	Jeff Metz, CompassSoft - Inventory Validation & Automation
April 8, 2008	University of Louisville Professor - TBD - Academic Relations
May 13, 2008	Networking Meeting @ Churchill Downs

Louisville IIA Chapter Seminar Opportunity – **Sign Up Now**

Fraud: Advanced Concepts for Internal Auditors

When: October 15, 2007

Where: KY Society of CPAs

Cost: \$300

CPE credits: 8 hours

Includes: Continental breakfast, box lunch, afternoon snack, drinks, and materials.

Please RSVP by October 1, 2007 to Tim.Brown2@eon-us.com to confirm your seat.

Summary

For professional internal auditors interested in stepping up their fraud handling skills to a higher level, this one-day program builds upon concepts explored in our **Fraud Issues and Answers for Internal Auditors** seminar. It includes many “next step” practices for auditors and others interested in making Fraud Risk Management a reality on every project.

Learning Objectives and Program Content

This “how-to” course for internal auditing and other fraud professionals will help participants be more effective in their fraud prevention, detection and handling responsibilities.

Topics covered include:

- Best practices in prevention, early detection and effective incident response
- “Do’s and don’ts” for internal auditors with fraud handling responsibilities
- Three Step Fraud Detection
- Special challenges in contracting, procurement and related party transactions
- Data extraction and analysis
- Hi Impact Audit Program steps
- Short cases and exercises
- Fraud-Based Interviewing
 - The internal auditor’s role in investigations
- Documenting a fraud case
- Participant idea sharing and interaction

Instructional Method, Program Length and Continuing Education Credits

This seminar utilizes a combination of lecture, discussion and short exercises. The program length is one full day. In accordance with the standards of the National Association of State Boards of Accountancy (NASBA) Continuing Professional Education credits have been calculated based on a 50-minute hour.

Program Level:

Intermediate to Advanced

Prerequisites:

None, although some experience in fraud issues in an internal auditing environment is helpful

Field of Study:

Accounting & Auditing

Other News...

National IIA News and training opportunities are available at [The Institute of Internal Auditors \(IIA\)](#).

Headlines this month include...

- [The IIA and the International Organization of Supreme Audit Institutions Sign Agreement](#)
- [Professional association recognized among the top 100 companies for working families in Central Florida](#)
- [The IIA comments on two recent exposure drafts](#)
- [Download GTAG 8: Auditing Application Controls](#)

INDIANAPOLIS CHAPTER ERM SEMINAR

The Indianapolis Chapter will be hosting a two-day seminar on Enterprise Risk Management on September 17th & 18th. The seminar is \$360 for members and includes 15.5 CPE hours. For more information contact Josh Murer at jmurer@crowechizek.com by Friday, August 24th.

HOW CAN I BECOME MORE INVOLVED IN MY CHAPTER...

If you want to be more involved, take advantage of leadership opportunities, or have ideas or contacts for seminars for the 2007-2008 Chapter year, please contact any of the officers to discuss your needs.

JOB OPPORTUNITY AVAILABLE

Christian Care Communities, Inc. Internal Auditor/Corporate Compliance Officer

Christian Care Communities is Kentucky's largest and most diverse not-for-profit social service organization. Our management office located in Louisville, Kentucky is seeking a full time Internal Auditor/Corporate Compliance Officer. This position will be responsibly for conducting audits and serves as Ombudsman to assess effectiveness of controls, accuracy of financial records, and compliance with all laws that govern our facilities by performing the following duties; responsible for planning, designing, implementing, and maintaining Christian Care Communities-wide compliance and audit programs, policies, and procedures that promote a corporate culture that fosters ethical and compliant behavior and provides the basis for ensuring adequate internal controls and compliance with all laws and regulatory requirements applicable to Christian Care Communities; responsible for the development, dissemination, and implementation of compliance and audit standards, and administrative systems in areas that may include, but are not limited to: accreditation and licensure, prevention of management fraud, illegal acts; authorized use of assets, adequate documentation and billing, adherence to regulatory requirements.

Bachelor's degree (B. S.) in Accounting or Finance from four-year college or university; minimum of four years finance and accounting related experience. Minimum of two years in health care corporate compliance. Familiarity in Medicare and Medicaid reimbursement and fraud and abuse laws.

Proficient knowledge of MS Outlook, Work, Excel, and PowerPoint. Must have experience in creating spreadsheets and graphs.

Compensation is commensurate with experience and qualifications. Christian Care offers an excellent benefits package including medical, dental, vision, life insurance, 401(k), auto allowance, and paid time off (sick, holiday and vacation), and support for involvement and membership in professional organizations.

Christian Care Communities is an Equal Opportunity Employer. Qualified candidates will receive consideration in employment without regard to race, sex, age, religion, national origin, marital status, veteran status or disability. We are committed to diversity in the workplace.

**Attn: Karen Scrogam, Human Resources Assistant
Christian Care Communities, The Cumberland Building
12700 Shelbyville Road, Louisville, KY 40243**

Email: Karen.scrogam@ccc1884.org Fax: (866) 883-7079