

# DERBY CITY DISCLOSURES

LOUISVILLE IIA CHAPTER



November 2007

## PRESIDENT'S MESSAGE

Dear Louisville IIA Members and Non-Members,

I hope you were able to join us at the October meeting to hear Marion Gee discuss Audit Committee relationships. We enjoyed a large turnout for the meeting and the presentation was both entertaining and informative. I received many positive comments about Marion following the meeting.

It looks like many of us missed a great seminar on October 15. John Hall presented Senior Fraud concepts at the Kentucky Society of CPAs. John will be presenting this seminar to the Tri-State (Evansville) Chapter on November 19, for those who might want to catch his presentation.

Our November 13 meeting at the Bristol continues the fraud theme. Mr. Drew Chambers, Senior Audit Manager with Mountjoy & Bressler, LLP, will discuss fraud issues from his audit perspective. We are hoping to see another large attendance at this meeting.

As a reminder, our December and January meetings will not be held at the Bristol. Our December meeting will be at Papa John's International, where Clark Spaeder will speak and hopefully provide a short tour of the dough kitchen. Our January meeting will be held jointly with the Central Kentucky Chapter in Frankfort. A speaker with the Commonwealth of Kentucky has tentatively been scheduled; you will not want to miss this opportunity.

I'm looking forward to seeing each of you again at the Bristol on November 13.

Roger LeMaster  
Chapter President

## ADVERTISEMENT POLICY

**FREE** recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at [president@iialou.org](mailto:president@iialou.org) or Secretary at [secretary@iialou.org](mailto:secretary@iialou.org).

**NOVEMBER MONTHLY MEETING**

## **Fraud Issues**

Drew Chambers  
Senior Manager  
Mountjoy & Bressler, LLP

**Tuesday, November 13, 2007**

12:00 noon, 11:30 registration

**1 CPE hour**

(certificates available at meeting or contact [Tim Brown](#))

### **Bristol Bar & Grille Downtown**

614 West Main Street  
(parking will NOT be validated)

**Cost: \$20.00**

Use PayPal at [www.iialou.org](http://www.iialou.org) or remit at meeting

**RSVP your menu selection by**  
**Thursday, November 8, 2007 to**

Tim Brown

Office: 627-4580 Fax: 217-4003

[Tim.Brown2@eon-us.com](mailto:Tim.Brown2@eon-us.com)

#### **Menu selections:**

##### **Grilled Chicken & Spinach Salad**

*w/ roasted garlic, red onion, sun-dried tomatoes, bacon, goat cheese and Balsamic Vinaigrette*

##### **Bristol Club**

*smoked turkey breast, bacon, avocado, lettuce and tomato on wheat bread. Served with chips*

##### **Thai Vegetable Stir Fry**

*peppers, onions, mushrooms, and snow peas with Thai chili sauce over rice*

**PayPal** is a quick and simple way to pay for monthly IIA meetings. From the chapter web site ([www.iialou.org](http://www.iialou.org)), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Tim Brown** with your menu choice. All information is e-mailed to the chapter and remains with PayPal.

**Louisville CHAPTER**

Visit [www.iialou.org](http://www.iialou.org) for more

## OFFICERS

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Don Whitehouse  
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## WELCOME NEW MEMBERS

Three new members! Please welcome: Kendall Ransom of Munson Healthcare; Julia Pointer of Monumental Life Insurance Company; and John Goodale of GSI Commerce.

## CIA EXAM REMINDER

Parts 1 & 2 of the last paper and pencil CIA exam will be given on Wednesday, November 14; parts 3 & 4 will be given on Thursday, November 15. Visit the CIA certification page of the IIA website at <http://www.theiia.org/certification/certified-internal-auditor> for more information. We are also looking for Certified Internal Auditors interested in proctoring a part of the exam. For more information regarding the exam or proctoring a part, contact Bob Aemmer at [bob.aemmer@eon-us.com](mailto:bob.aemmer@eon-us.com).

## LEADERSHIP OPPORTUNITY AVAILABLE

The Louisville Chapter is looking for a Newsletter Editor. The Newsletter Editor is responsible for preparing the monthly newsletter for distribution to the general membership. For additional information or to express interest, please contact Michelle Beumel or Corey Koellner.

## HOW CAN I BECOME MORE INVOLVED IN MY CHAPTER ...

If you want to be more involved, take advantage of leadership opportunities, or have ideas or contacts for seminars for the 2007-2008 Chapter year, please contact any of the officers to discuss your needs.

## DID YOU KNOW...

The Louisville Chapter's website contains prior editions of the Derby City Disclosures newsletter for the past several years as well as a calendar of events for the year, among other things. Check out the chapter's website at <http://iialou.org/index.htm>.

## CHAIRPERSONS

### Academic Relations

Dave Barker  
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### Government Relations

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### Membership/Attendance

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### Newsletter Editor

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### Seminars

Jodi Renn  
Kentucky Higher Education Student Loan Corp.  
[JRenn@Khesic.com](mailto:JRenn@Khesic.com)

## 2007-08 Calendar of Events

### Louisville Chapter of the IIA

\*additional seminars to be added as confirmed

<b>November 13, 2007</b>	<b>Drew Chambers, Mountjoy &amp; Bressler, LLP</b> - Fraud Issues
<b>December 11, 2007</b>	<b>Clark Spader, Papa Johns International</b>
<b>January 8, 2008</b>	<b>Joint Meeting with the Lexington IIA Chapter</b> <b>Crit Luallen, Auditor of Public Accounts</b> @ KHEAA headquarters (Frankfort, KY)
<b>January 22, 2008</b>	<b>Student Mixer / Reception</b> @ University of Louisville campus – University Club
<b>February 12, 2008</b>	<b>Bob Miller, Louisville Water Company</b> - Pandemic Planning
<b>March 11, 2008</b>	<b>Jeff Metz, CompassSoft</b> - Inventory Validation & Automation
<b>April 8, 2008</b>	<b>University of Louisville Professor - TBD</b> - Academic Relations
<b>May 13, 2008</b>	<b>Networking Meeting</b> @ Churchill Downs

## Other News...

National IIA News and training opportunities are available at [The Institute of Internal Auditors \(IIA\)](#).

Headlines this month include...

- [Don't miss The IIA's Nov. 13 webcast: Managing Fraud Risk](#)
- [Ethics, Fraud, Security, Risk, Governance – Experts to Discuss Hot Media Topics](#)
- [Environmental Auditors Celebrate a Decade of Achievement](#)

### IIA Member Exchange

As much as the IIA is about ideas and products, more than anything it's about people. To help you connect with your colleagues, the IIA is pleased to offer you an exciting new networking tool – Member Exchange (ME). This service, available exclusively to IIA members, helps facilitate connections between all members of our community. For more information visit <http://www.theiia.org/memberexchange/>.



### Give Your Chapter US \$25

Register for an IIA headquarters 2007 public seminar and reference **Source Code: CHAPSEM** at time of registration and your chapter will automatically receive US \$25 with no additional cost to you. The IIA is offering this new program as a way to help you get the education and training you're looking for, plus support your chapter along the way.

## ISACA News...

### **IT AND INFORMATION ASSURANCE EXECUTIVE SECURITY SEMINAR**

Louisville Chapter officers have been notified of a two-day IT-IA Executive Security Seminar sponsored by the ISACA Middle TN Chapter. The seminar, "From Strategy to Reality: The Bigger Picture", is scheduled for December 11<sup>th</sup> & 12<sup>th</sup> with a discounted price of \$150. Contact Judy Morrison at 989-771-1007 with questions or visit <http://www.ip3seminars.com/security/register-isaca.php>.

## JOB OPPORTUNITY AVAILABLE

### **Christian Care Communities, Inc. Internal Auditor/Corporate Compliance Officer**

Christian Care Communities is Kentucky's largest and most diverse not-for-profit social service organization. Our management office located in Louisville, Kentucky is seeking a full time Internal Auditor/Corporate Compliance Officer. This position will be responsibly for conducting audits and serves as Ombudsman to assess effectiveness of controls, accuracy of financial records, and compliance with all laws that govern our facilities by performing the following duties; responsible for planning, designing, implementing, and maintaining Christian Care Communities-wide compliance and audit programs, policies, and procedures that promote a corporate culture that fosters ethical and compliant behavior and provides the basis for ensuring adequate internal controls and compliance with all laws and regulatory requirements applicable to Christian Care Communities; responsible for the development, dissemination, and implementation of compliance and audit standards, and administrative systems in areas that may include, but are not limited to: accreditation and licensure, prevention of management fraud, illegal acts; authorized use of assets, adequate documentation and billing, adherence to regulatory requirements.

Bachelor's degree (B. S.) in Accounting or Finance from four-year college or university; minimum of four years finance and accounting related experience. Minimum of two years in health care corporate compliance. Familiarity in Medicare and Medicaid reimbursement and fraud and abuse laws.

Proficient knowledge of MS Outlook, Work, Excel, and PowerPoint. Must have experience in creating spreadsheets and graphs.

Compensation is commensurate with experience and qualifications. Christian Care offers an excellent benefits package including medical, dental, vision, life insurance, 401(k), auto allowance, and paid time off (sick, holiday and vacation), and support for involvement and membership in professional organizations.

Christian Care Communities is an Equal Opportunity Employer. Qualified candidates will receive consideration in employment without regard to race, sex, age, religion, national origin, marital status, veteran status or disability. We are committed to diversity in the workplace.

**Attn: Karen Scrogam, Human Resources Assistant  
Christian Care Communities, The Cumberland Building  
12700 Shelbyville Road, Louisville, KY 40243**

**Email: [Karen.scrogam@ccc1884.org](mailto:Karen.scrogam@ccc1884.org) Fax: (866) 883-7079**