

# DERBY CITY DISCLOSURES



**LOUISVILLE IIA CHAPTER**

**December 2007**

## PRESIDENT'S MESSAGE

Dear Louisville IIA Members and Non-Members,

Happy Holidays to everyone! We have been seeing great attendance at our monthly meetings. Thank you for participating and making our meetings a success. I am really looking forward to our remaining schedule and hope you can continue to join us.

Please note the change in location for the December meeting. Our meeting will be held at Papa John's International offices (directions included on page 3) and hosted by our own Clark Spader, Director of Internal Audit at Papa John's. He has been kind enough to share his facilities, which will include a peek at their dough production. Speaking will be Helene Tracey, Director of Organizational Development. Her presentation on "Ten Secrets To Make Leadership Work" promises to be entertaining. We hope that the change in venue will make it somewhat easier for those who work outside the downtown area to attend the meeting. We look forward to seeing you there.

I am sorry to say that Crit Luallen will be unable to join us for our January 8 meeting in Frankfort. I hope to be able to inform you, during our December meeting, who will speak in January.

We have an ethics seminar developing for Friday, February 8. Mr. Robert Benvenuti will present for eight hours of CPE. We are hoping to secure Masterson's for this seminar. Watch for more details later.

Also, don't forget about our student reception on January 22 at the University of Louisville's University Club. This will give us a chance to discuss internal audit with current students and attract them to the profession.

I'm looking forward to seeing each of you at Papa Johns on December 11.

Roger LeMaster  
Chapter President

## **ADVERTISEMENT POLICY**

**FREE** recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at [president@iialou.org](mailto:president@iialou.org) or Secretary at [secretary@iialou.org](mailto:secretary@iialou.org).

# DECEMBER MONTHLY MEETING

## Ten Secrets To Make Leadership Work

Helene Tracey  
Sr. Director of Organizational Development  
Papa John's

**Tuesday, December 11, 2007**

12:00 noon, 11:30 registration

**1 CPE hour**

(certificates available at meeting or contact [Tim Brown](#))

**Papa John's**  
2002 Papa Johns Blvd

**Cost: \$15.00**

Use PayPal at [www.ialou.org](http://www.ialou.org) or remit at meeting

**RSVP by Thursday,**  
**December 6, 2007 to**

Tim Brown  
Office: 627-4580 Fax: 217-4003  
[membership@ialou.org](mailto:membership@ialou.org)

**Menu selection:**

***Pizza, Pizza, Pizza!***  
***(also includes salad & a cookie)***

## **About Helene . . .**

Helene is Senior Director of Organizational Development in the Human Resources department at Papa John's. Organizational Development provides Papa John's with information, guidance, thought leadership on key business issues in the form of consultation, programs and systems.

Helene joined Papa John's in 1997 as a manager in the Education and Training department. As the business has continued to grow, Helene's role and responsibilities have continued to expand. Prior to joining Papa John's, Helene was an instructional designer at Providian.

Helene is a graduate of the University of Louisville. She has a BA in English with a concentration in writing. She earned a Mortar Board fellowship for graduate studies and has a Masters Degree in Education (training and development).

Helene has significant experience in the design and delivery of development programs based on principles of adult learning. Topics of particular interest include strategy, coaching, team dynamics and development planning. She is certified in two well-proven assessments: the MBTI and FIRO-B. She currently serves on the board of the Center for Non-profit Excellence.

**PayPal** is a quick and simple way to pay for monthly IIA meetings. From the chapter web site ([www.ialou.org](http://www.ialou.org)), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Tim Brown** ([membership@ialou.org](mailto:membership@ialou.org)) with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

# LOUISVILLE CHAPTER NEWS

Visit [www.iiialou.org](http://www.iiialou.org) for more details!!

## OFFICERS

### President

Roger LeMaster  
Louisville Water Co.  
(502) 569-3647  
[rlemaster@lwcky.com](mailto:rlemaster@lwcky.com)

### Vice President

Michelle Beumel  
E.ON U.S.  
502-627-2098  
[michelle.beumel@eon-us.com](mailto:michelle.beumel@eon-us.com)

### Treasurer

Jim Andriot  
E.ON U.S.  
(502) 627-3979  
[Jim.Andriot@eon-us.com](mailto:Jim.Andriot@eon-us.com)

### Secretary

Steve Zagar  
Stock Yards Bank  
(502) 625-3744  
[steve.zagar@syb.com](mailto:steve.zagar@syb.com)

### Webmaster

Bruce Edwards  
University of Louisville  
(502) 852-4363  
[Bruce@brucedwards.com](mailto:Bruce@brucedwards.com)

## GOVERNORS

Connie Davis  
MSD

[DAVISC@msdlouky.org](mailto:DAVISC@msdlouky.org)

Don Whitehouse  
Insight Communications  
[whitehouse.d@insight-com.com](mailto:whitehouse.d@insight-com.com)

Michele Welscher  
Hawkins Company CPA's  
[Michele.Welscher@HawkinsCoCPAs.com](mailto:Michele.Welscher@HawkinsCoCPAs.com)

Heather DiEnno  
E.ON U.S.  
[heather.dienno@eon-us.com](mailto:heather.dienno@eon-us.com)

Dwayne Dowell  
Dwayne K. Dowell, PSC  
[dkdowell@dkdcpa.com](mailto:dkdowell@dkdcpa.com)

## WELCOME NEW MEMBERS

14 new members! Please welcome: Christine Wathen, Chuck Bent, Meredith Hettinger, Whitney Rohleder, & Benjamin Thomas of ResCare Inc; Brian Johnson of Brown Foreman Corp; Brittney Logsdon of Jefferson National Financial; Jeff Pettingill, David Warden, Scott Schreiner, & Brad Shifflett of Humana; Rhonda Spalding of Stock Yards Bank & Trust Co; and Rebecca Simpson & Melissa Wallace of Belterra Resort & Casino.

## LEADERSHIP OPPORTUNITY AVAILABLE

The Louisville Chapter is looking for a Newsletter Editor. The Newsletter Editor is responsible for preparing the monthly newsletter for distribution to the general membership. For additional information or to express interest, please contact Michelle Beumel or Corey Koellner.

## DIRECTIONS TO PAPA JOHN'S (DECEMBER MEETING)

From downtown...take the Blankenbaker exit off I-64 staying in the right hand lane. After getting onto Blakenbaker, immediately merge to your left to take a left at the first light. Follow this down the hill (between Ruby Tuesday's and Taco Bell) and Papa John's Blvd will be on the right. Continue up Papa John's Blvd and take a right at the second opportunity and then the next left into the parking lot (i.e., if facing the front of the Papa John's building, please park in the large parking lot on the right hand side - please do not park in the parking spaces lining Papa Johns Blvd approaching the circular drive). Please walk to the front lobby which is at the end of Papa John's Blvd (i.e., by the circular drive) where you will be further directed to our meeting facility.

## DID YOU KNOW...

The Louisville Chapter's website contains a calendar of events for the year with links to PayPal for convenient payment. In addition, certain local career opportunities are also included on the website, among other things. Check out the chapter's website at <http://iiialou.org/index.htm>.

## CHAIRPERSONS

### Academic Relations

Dave Barker  
University of Louisville  
[dfbark01@louisville.edu](mailto:dfbark01@louisville.edu)

### Audit

Will Metcalf  
University of Louisville  
[wametco@louisville.edu](mailto:wametco@louisville.edu)

### Government Relations

Jenni Schelling  
Louisville, Jefferson Co. Metro  
[jenni.schelling@louisvilleky.gov](mailto:jenni.schelling@louisvilleky.gov)

### Membership/Attendance

Tim Brown  
E.ON U.S.  
[membership@theiia.org](mailto:membership@theiia.org)

### CIA Program

Bob Aemmer  
E.ON U.S.  
[bob.aemmer@eon-us.com](mailto:bob.aemmer@eon-us.com)

### Newsletter Editor

Corey Koellner  
Ernst & Young  
[corey.koellner@ey.com](mailto:corey.koellner@ey.com)

### Seminars

Jodi Renn  
Kentucky Higher Education Student Loan Corp.  
[JRenn@Kheslc.com](mailto:JRenn@Kheslc.com)

## 2007-08 Calendar of Events

### Louisville Chapter of the IIA

\*additional seminars to be added as confirmed

|                   |  |
|-------------------|--|
| December 11, 2007 | Helene Tracey, Papa Johns International  |
| January 8, 2008   | Joint Meeting with the Lexington IIA Chapter<br>@ KHEAA headquarters (Frankfort, KY) |
| January 22, 2008  | Student Mixer / Reception<br>@ University of Louisville campus – University Club     |
| February 12, 2008 | Bob Miller, Louisville Water Company<br>- Pandemic Planning                          |
| March 11, 2008    | Jeff Metz, CompassSoft<br>- Inventory Validation & Automation                        |
| April 8, 2008     | University of Louisville Professor - TBD<br>- Academic Relations                     |
| May 13, 2008      | Networking Meeting<br>@ Churchill Downs  |

## OTHER NEWS...

National IIA News and training opportunities are available at [The Institute of Internal Auditors \(IIA\)](#).

Headlines this month include...

- [New Advocacy Brochure Available](#)
- [PCAOB Names IIA Board Member to Standing Advisory Group](#)
- [Tone at the Top Explores Mortgage-based Securities](#)

### **IIA Member Exchange**

As much as the IIA is about ideas and products, more than anything it's about people. To help you connect with your colleagues, the IIA is pleased to offer you an exciting new networking tool – Member Exchange (ME). This service, available exclusively to IIA members, helps facilitate connections between all members of our community. For more information visit <http://www.theiia.org/memberexchange/>.



### **Give Your Chapter US \$25**

Register for an IIA headquarters 2007 public seminar and reference **Source Code: CHAPSEM** at time of registration and your chapter will automatically receive US \$25 with no additional cost to you. The IIA is offering this new program as a way to help you get the education and training you're looking for, plus support your chapter along the way.

## ISACA NEWS...

### **IT AND INFORMATION ASSURANCE EXECUTIVE SECURITY SEMINAR**

Louisville Chapter officers have been notified of a two-day IT-IA Executive Security Seminar sponsored by the ISACA Middle TN Chapter. The seminar, "From Strategy to Reality – The Bigger Picture", is scheduled for December 11<sup>th</sup> & 12<sup>th</sup> with a discounted price of \$150. Contact Judy Morrison at 989-771-1007 with questions or visit <http://www.ip3seminars.com/security/register-isaca.php>.

## JOB OPPORTUNITIES AVAILABLE

### **Christian Care Communities, Inc. Internal Auditor/Corporate Compliance Officer**

Christian Care Communities is Kentucky's largest and most diverse not-for-profit social service organization. Our management office located in Louisville, Kentucky is seeking a full time Internal Auditor/Corporate Compliance Officer. This position will be responsibly for conducting audits and serves as Ombudsman to assess effectiveness of controls, accuracy of financial records, and compliance with all laws that govern our facilities by performing the following duties; responsible for planning, designing, implementing, and maintaining Christian Care Communities-wide compliance and audit programs, policies, and procedures that promote a corporate culture that fosters ethical and compliant behavior and provides the basis for ensuring adequate internal controls and compliance with all laws and regulatory requirements applicable to Christian Care Communities; responsible for the development, dissemination, and implementation of compliance and audit standards, and administrative systems in areas that may include, but are not limited to: accreditation and licensure, prevention of management fraud, illegal acts; authorized use of assets, adequate documentation and billing, adherence to regulatory requirements.

Bachelor's degree (B. S.) in Accounting or Finance from four-year college or university; minimum of four years finance and accounting related experience. Minimum of two years in health care corporate compliance. Familiarity in Medicare and Medicaid reimbursement and fraud and abuse laws.

Proficient knowledge of MS Outlook, Work, Excel, and PowerPoint. Must have experience in creating spreadsheets and graphs.

Compensation is commensurate with experience and qualifications. Christian Care offers an excellent benefits package including medical, dental, vision, life insurance, 401(k), auto allowance, and paid time off (sick, holiday and vacation), and support for involvement and membership in professional organizations.

Christian Care Communities is an Equal Opportunity Employer. Qualified candidates will receive consideration in employment without regard to race, sex, age, religion, national origin, marital status, veteran status or disability. We are committed to diversity in the workplace.

**Attn: Karen Scrogam, Human Resources Assistant  
Christian Care Communities, The Cumberland Building  
12700 Shelbyville Road, Louisville, KY 40243**

**Email: [Karen.scrogam@ccc1884.org](mailto:Karen.scrogam@ccc1884.org) Fax: (866) 883-7079**

**Louisville Metro Government**  
**Internal Auditor I / Internal Auditor II**

**Overview**

The Louisville Metro Office of Internal Audit is currently seeking to fill the vacancy of Internal Auditor. The ideal candidate will join a dedicated team of Internal auditing professionals. The Office of Internal Audit is authorized by ordinance and reports jointly to the Mayor and Metro Council. The office provides independent, objective assurance and consulting activities that assist both policy makers and program managers in providing high-quality services in a manner that is accountable, efficient, and effective.

The Office provides assurance as to the effectiveness of Metro Government's risk management, control and governance processes. Audits are primarily operational in nature, not financial statement auditing. The Louisville Metro Office of Internal Audit website [www.louisvilleky.gov/InternalAudit/](http://www.louisvilleky.gov/InternalAudit/) contains reports that exhibit the types of audit work performed.

The Office of Internal Audit conducts audits of all Metro departments, offices, boards, activities and agencies. Audits are conducted in accordance with Government Auditing Standards issued by the Comptroller General of the United States and with the International Standards for the Professional Practice of Internal Auditing issued by the Institute of Internal Auditors.

**Essential Functions**

Coordinates the auditing of records, accounts, cash, reports, internal controls, compliance and fraud activities and operations. Audits records to assess effectiveness of controls, accuracy of information and efficiency of operations. Interviews employees concerning recording of transactions, and to ensure compliance with laws, regulations and accounting standards. Evaluates internal controls, policies, procedures and accounting practices and recommends modifications.

**Job Skills/Requirements**

**Minimum Requirements**

Bachelor's degree in Business or Public Administration, Accounting, Finance or a related field. Two to three years of auditing, accounting, finance or budget analyst experience. An equivalent combination of education and experience may be substituted.

**Desirables**

Bachelor's degrees in Communications, Journalism, English, Information Technologies may also be considered.

The ideal candidate should enjoy challenging assignments and continuous learning. Desired competencies include outstanding analytical skills, effective writing, multi-tasking, professional business acumen, organizational agility, comfort around all levels of staff and management, a self-starter not afraid of initiative, significant communications experience in both oral and written form.

Certification as a Certified Internal Auditor (CIA), Certified Fraud Examiner (CFE), Certified Government Auditing Professional (CGAP) or Certified Information Systems Auditor (CISA).

**Additional Information/Benefits**

Salary: \$38,001.60 +

Closing Date: Open & Continuous

Benefits: Medical Insurance, Life Insurance, Dental Insurance, Vision Insurance, Paid Vacation, Paid Sick Days, Paid Holidays

Apply electronically via: <http://www.louisvilleky.gov/humanresources/>