

DERBY CITY DISCLOSURES



LOUISVILLE IIA CHAPTER

January 2008

PRESIDENT'S MESSAGE

Dear Louisville IIA Members and Non-Members,

Welcome to the New Year! I can't believe it's here already. I hope those of you who made it to Papa John's in December had as good a time as I did. I can't thank Clark Spader enough for hosting our meeting. It was a real treat for the Holidays. Helene Tracey gave an excellent presentation on Leadership, and the tour of the dough kitchen was the perfect way to top it off.

On January 8, we will hold a joint meeting with the Central Kentucky chapter at the KHEAA offices in Frankfort. Our speaker will be Assistant Attorney General Kevin Winstead. This will be a greater sacrifice for some of you to attend; but, I encourage as many of you as possible to make it to the meeting. It will be a chance to meet members from our sister chapter in Lexington. Carpooling is recommended (please indicate in your RSVP if you are interested in carpooling).

Please see Dave Barker's note regarding the student reception at U of L on January 22. It's a great way to encourage students to enter our profession and to recruit future IIA members.

Also, please note our upcoming Ethics seminar on February 8 at Masterson's. Mr. Robert Benvenuti will provide eight hours of CPE and there will be plenty of food for the low price of \$149. You won't want to miss this opportunity.

I'm looking forward to seeing each of you in Frankfort on January 8.

Roger LeMaster
Chapter President

ADVERTISEMENT POLICY

FREE recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at president@iialou.org or Secretary at secretary@iialou.org.

JANUARY MONTHLY MEETING

Identity Theft

Kevin Winstead
Assistant Attorney General
Commonwealth of Kentucky

Tuesday, January 8, 2008
12:00 noon, 11:30 registration

1 CPE hour

(certificates available at meeting or contact [Tim Brown](#))

KHEAA Office

100 Airport Road
Frankfort, KY 40601
(see directions on p. 3)

Cost: \$20.00

Use PayPal at www.iialou.org or remit at meeting

RSVP by Friday, **January 4, 2008 to**

Tim Brown
Office: 627-4580 Fax: 217-4003
membership@theiia.org

*** Please indicate in your RSVP if you are interested in carpooling ***

Menu selection:

Taco bar and queso from Qdoba!
(also includes a tasty treat)

About Kevin . . .

Kevin Winstead joined the Kentucky Attorney General's Office in 2005, where he works as an Assistant Attorney General in the Office of Consumer Protection on issues involving identity theft, credit laws, debt collection practices, and cemetery and funeral home practices.

Kevin's prior experience as an attorney includes 10 years with the pre-merger City of Louisville and 7 years in private practice.

For information regarding the Office of the Attorney General's recent press release on Identity Theft / "Dumpster Diving", please visit their website: <http://ag.ky.gov/news/dumpsterdiving.htm>

PayPal is a quick and simple way to pay for monthly IIA meetings. From the chapter web site (www.iialou.org), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Tim Brown** with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

LOUISVILLE CHAPTER NEWS

Visit www.iialou.org for more details!!

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WELCOME NEW MEMBERS

We had one new member this month. Please welcome Jerome Dierickx of Yum.

LEADERSHIP OPPORTUNITY AVAILABLE

The Louisville Chapter is looking for a Newsletter Editor. The Newsletter Editor is responsible for preparing the monthly newsletter for distribution to the general membership. For additional information or to express interest, please contact Michelle Beumel or Corey Koellner.

DIRECTIONS TO KHEAA OFFICE (JANUARY MEETING)

Our January 2008 meeting will be held at the KHEAA offices in Frankfort. Below are driving directions, courtesy of Mapquest:

- Take I-64 E toward LEXINGTON/I-65. (49.32 miles)
- Merge onto US-127 N via EXIT 53B toward FRANKFORT. (2.19 miles)
- Turn LEFT onto US-60/LOUISVILLE RD. (0.19 miles)
- Turn RIGHT onto AIRPORT RD. (0.03 miles)
- End at 100 Airport Rd Frankfort, KY 40601-6161 US

U of L STUDENT RECEPTION

The local chapters of the IIA and ISACA will sponsor the 2nd annual student reception on January 22, 2008 from 4 pm to 6 pm at the University Club of Louisville (Belknap Campus). This is a great opportunity to showcase Internal Audit and Information Systems Audit professions. We will have refreshments for all and door prizes for the students. Please plan to attend and promote the profession. Please contact Dave Barker at 852.4676 if you can be there!

ETHICS SEMINAR

There will be an Ethics seminar on February 8 at Masterson's. Mr. Robert Benvenuti will provide eight hours of CPE and there will be plenty of food for the low price of \$149. Please contact Jodi Renn at jrenn@kheslc.com for information.

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2007-08 Calendar of Events

Louisville Chapter of the IIA

*additional seminars to be added as confirmed

January 8, 2008	Joint Meeting with the Lexington IIA Chapter @ KHEAA headquarters (Frankfort, KY)
January 22, 2008	Student Mixer / Reception @ University of Louisville campus – University Club
February 8, 2008	Ethics Seminar presented by Robert J. Benvenuti III @ Masterson's
February 12, 2008	Bob Miller, Louisville Water Company - Pandemic Planning
March 11, 2008	Jeff Metz, CompassSoft - Inventory Validation & Automation
April 8, 2008	University of Louisville Professor - TBD - Academic Relations
May 13, 2008	Networking Meeting @ Churchill Downs

OTHER NEWS...

National IIA News and training opportunities are available at [The Institute of Internal Auditors \(IIA\)](#).

Headlines this month include...

- [A free, new step-by-step guide for building quality is available](#)
- [The IIA Announces New IT Audit Guide on Identity and Access Management](#)
- [Tone at the Top Focuses on Audit Committee Priorities](#)

Certified Internal Auditor Examination

To support The Institute of Internal Auditors' (IIA's) vision of being the global voice of the internal audit profession and providing exceptional service to its members, The IIA will begin administering its Certified Internal Auditor® (CIA®) and specialty exams using computer-based testing (CBT) in February 2008. The following link will direct you to the IIA's most recently updated information regarding the transition. <http://www.theiia.org/certification/computer-based-testing/>.



The IIA Research Foundation Common Body of Knowledge (CBOK)

The Institute of Internal Auditors Research Foundation has released the most comprehensive global study ever conducted on the internal audit profession. The study, the Common Body of Knowledge (CBOK), has produced a rich database of information on how the profession is being practiced worldwide. Visit the IIA website at www.theiia.org for more information.

JOB OPPORTUNITIES AVAILABLE

Louisville Metro Government Internal Auditor I / Internal Auditor II

Overview

The Louisville Metro Office of Internal Audit is currently seeking to fill the vacancy of Internal Auditor. The ideal candidate will join a dedicated team of Internal auditing professionals. The Office of Internal Audit is authorized by ordinance and reports jointly to the Mayor and Metro Council. The office provides independent, objective assurance and consulting activities that assist both policy makers and program managers in providing high-quality services in a manner that is accountable, efficient, and effective.

The Office provides assurance as to the effectiveness of Metro Government's risk management, control and governance processes. Audits are primarily operational in nature, not financial statement auditing. The Louisville Metro Office of Internal Audit website www.louisvilleky.gov/InternalAudit/ contains reports that exhibit the types of audit work performed.

The Office of Internal Audit conducts audits of all Metro departments, offices, boards, activities and agencies. Audits are conducted in accordance with Government Auditing Standards issued by the Comptroller General of the United States and with the International Standards for the Professional Practice of Internal Auditing issued by the Institute of Internal Auditors.

Essential Functions

Coordinates the auditing of records, accounts, cash, reports, internal controls, compliance and fraud activities and operations. Audits records to assess effectiveness of controls, accuracy of information and efficiency of operations. Interviews employees concerning recording of transactions, and to ensure compliance with laws, regulations and accounting standards. Evaluates internal controls, policies, procedures and accounting practices and recommends modifications.

Job Skills/Requirements

Minimum Requirements

Bachelor's degree in Business or Public Administration, Accounting, Finance or a related field. Two to three years of auditing, accounting, finance or budget analyst experience. An equivalent combination of education and experience may be substituted.

Desirables

Bachelor's degrees in Communications, Journalism, English, Information Technologies may also be considered.

The ideal candidate should enjoy challenging assignments and continuous learning. Desired competencies include outstanding analytical skills, effective writing, multi-tasking, professional business acumen, organizational agility, comfort around all levels of staff and management, a self-starter not afraid of initiative, significant communications experience in both oral and written form.

Certification as a Certified Internal Auditor (CIA), Certified Fraud Examiner (CFE), Certified Government Auditing Professional (CGAP) or Certified Information Systems Auditor (CISA).

Additional Information/Benefits

Salary: \$38,001.60 +

Closing Date: Open & Continuous

Benefits: Medical Insurance, Life Insurance, Dental Insurance, Vision Insurance, Paid Vacation, Paid Sick Days, Paid Holidays

Apply electronically via: <http://www.louisvilleky.gov/humanresources/>

Christian Care Communities, Inc.
Internal Auditor/Corporate Compliance Officer

Christian Care Communities is Kentucky's largest and most diverse not-for-profit social service organization. Our management office located in Louisville, Kentucky is seeking a full time Internal Auditor/Corporate Compliance Officer. This position will be responsibly for conducting audits and serves as Ombudsman to assess effectiveness of controls, accuracy of financial records, and compliance with all laws that govern our facilities by performing the following duties; responsible for planning, designing, implementing, and maintaining Christian Care Communities-wide compliance and audit programs, policies, and procedures that promote a corporate culture that fosters ethical and compliant behavior and provides the basis for ensuring adequate internal controls and compliance with all laws and regulatory requirements applicable to Christian Care Communities; responsible for the development, dissemination, and implementation of compliance and audit standards, and administrative systems in areas that may include, but are not limited to: accreditation and licensure, prevention of management fraud, illegal acts; authorized use of assets, adequate documentation and billing, adherence to regulatory requirements.

Bachelor's degree (B. S.) in Accounting or Finance from four-year college or university; minimum of four years finance and accounting related experience. Minimum of two years in health care corporate compliance. Familiarity in Medicare and Medicaid reimbursement and fraud and abuse laws.

Proficient knowledge of MS Outlook, Work, Excel, and PowerPoint. Must have experience in creating spreadsheets and graphs.

Compensation is commensurate with experience and qualifications. Christian Care offers an excellent benefits package including medical, dental, vision, life insurance, 401(k), auto allowance, and paid time off (sick, holiday and vacation), and support for involvement and membership in professional organizations.

Christian Care Communities is an Equal Opportunity Employer. Qualified candidates will receive consideration in employment without regard to race, sex, age, religion, national origin, marital status, veteran status or disability. We are committed to diversity in the workplace.

Attn: Karen Scrogam, Human Resources Assistant
Christian Care Communities, The Cumberland Building
12700 Shelbyville Road, Louisville, KY 40243

Email: Karen.scrogam@ccc1884.org Fax: (866) 883-7079