

DERBY CITY DISCLOSURES



LOUISVILLE IIA CHAPTER

September 2008

Dear Louisville IIA Members and Non-Members,

Welcome back to the chapter year! I'd like to specifically welcome the 13 new members who joined the IIA this summer. I encourage you to get involved in your local chapter and hope you take a moment to introduce yourself.

As you can see from our Calendar of Events, the officer group worked very hard this summer to coordinate highly experienced and relevant speakers to address a wide range of topics – from fraud issues and “hot topics” requested via our annual survey to the importance of social networking. You'll also notice a change in our year-end meeting; this year we'll be heading to Horseshoe Casino in Southern Indiana to get a glimpse inside the gambling industry (and *hopefully* get a behind the scenes tour).

We're starting off the year with our September 9th meeting at the Bristol Bar & Grille in downtown Louisville. Mr. Charlie Mattingly, President & CEO of the Better Business Bureau, will be discussing local fraud issues. You'll note a slight change in meeting prices this year as a result of increased food costs. While the price for non-members is increasing to \$25, I'm happy to say the Board of Governors unanimously voted to absorb the increase and keep the member price the same – another benefit of your IIA membership.

I look forward to seeing everyone at the meeting. In an effort to promote the profession, consider asking a colleague to join you at a meeting this year to get a glimpse of what it means to be an Internal Auditor.

All the best,

Corey Koellner
Chapter President

ADVERTISEMENT POLICY

FREE recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at president@iialou.org or Secretary at secretary@iialou.org.

SEPTEMBER MONTHLY MEETING

Local Fraud Issues

Charlie Mattingly
Better Business Bureau

Tuesday, September 9, 2008
12:00 noon, 11:30 registration

1 CPE hour

(certificates available at meeting or contact [Tim Brown](#))

Bristol Bar & Grille Downtown

614 West Main Street
(parking will NOT be validated)

Cost: \$20.00 member / \$25 non-member
Use PayPal at www.ialou.org or remit at meeting

RSVP with your menu selection by
Thursday, September 4, 2008 to

Tim Brown
Office: 627-4580 Fax: 217-4003
membership@ialou.org

Menu selection:

BIBB & STILTON SALAD

*with mandarin oranges & spiced walnuts.
Served with Balsamic vinaigrette*

THAI CHICKEN STIR FRY

*Chicken stir-fried with peppers, onions,
mushrooms, & snow peas with Thai chili sauce.
Served over rice*

MIDEAST GRILLED CHEESE

*Flat bread stuffed with spinach, green onions,
mushrooms,
havarti cheese and baked*

About Charlie. . .

Charlie Mattingly has served as President and Chief Executive Officer of the Better Business Bureau, serving Louisville, Southern Indiana and Western Kentucky, since August, 1996. Prior to his position with the Better Business Bureau, Mr. Mattingly served as Chief of Staff to Congressman Romano L. Mazzoli. His work with Congressman Mazzoli began in February, 1971 and continued until Mr. Mazzoli's retirement in 1995, when he served as the Congressman's Chief of Staff.

Mr. Mattingly holds a Bachelors Degree from Bellarmine College, where he majored in political science and history. He is a 1983 graduate of the University Of Louisville School Of Law, and was valedictorian of his law school class.

Mr. Mattingly is a member of the Kentucky Bar Association and the Louisville Bar Association. In addition, Mr. Mattingly serves on the Executive Committee and Board of Directors of the International Council of Better Business Bureaus, and chairs the Brand Management Committee, which is charged, among other things, with improving the appearance, consistency and quality of online services of the Better Business Bureau system. Mr. Mattingly also serves on a national committee which oversees the BBBOOnline program to promote ethical online business practices and promote trust and confidence on the Internet. He is currently a Board member of One Southern Indiana and also serves on the Board of the Advertising Federation of Louisville. He previously served on the Boards of Directors of the Center for Non-Profit Excellence of Louisville, Kentucky, the National Association of Credit Management/Louisville Division, and the Rotary Club of Louisville.

PayPal is a quick and simple way to pay for monthly IIA meetings. From the chapter web site (www.ialou.org), go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount, enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other e-commerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Louisville IIA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Tim Brown** with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

LOUISVILLE CHAPTER NEWS

Visit www.ialou.org for more details!!

OFFICERS

President

Corey Koellner
Ernst & Young LLP
502-585-6478

Corey.Koellner@EY.com

Vice President

Jodi Renn
Kentucky Higher Education
Student Loan Corp.
502-638-7462

jrenn@kheslc.com

Treasurer

Jim Andriot
E.ON U.S.
(502) 627-3979

Jim.Andriot@eon-us.com

Secretary

Derrick Singletary
Stock Yards Bank
502-625-3976

Derrick.Singletary@syb.com

Webmaster

Bruce Edwards
University of Louisville
(502) 852-4363

Bruce@bruceedwards.com

GOVERNORS

Roger LeMaster
Louisville Water Co.
rlemaster@lwcky.com

Connie Davis
Kentucky Retirement Systems
connie.davis@kyret.com

Michele Welscher
Hawkins Company CPA's
Michele.Welscher@HawkinsCoCPAs.com

Heather DiEnno
E.ON U.S.
heather.dienno@eon-us.com

Dwayne Dowell
Dwayne K. Dowell, PSC
dkdowell@dkdcpa.com

Welcome New Members

Over the summer months, we had thirteen new members. Please welcome the following: Robbie Chitwood of Resources Global Professionals; Gloria Dickson of E.ON U.S. LLC; Tanya Henry of Chapman Kelly, Inc; Mariya Ivanova, Corey Johnson, and Quan Nghe of YUM Brands Inc; Cheryl Quickert of Citizens Union Bank; Steve Shuff and Pam Westerman of iPay Technologies LLC; Nicholas Spurlock of Brown Forman Corporation; Karintha Thompson of Stewart Title Guaranty Company; Dilip Maheshwari of BKD, LLP; and Kristy Bruner of Anthem.

Junior Achievement

If you have an interest in teaching Junior Achievement to elementary, middle, or high school students – or - if you already plan to participate in Junior Achievement (teaching a class or helping with a fundraiser) anytime from June 1, 2008 – May 31, 2009, please email Derrick.Singletary@syb.com. The IIA would like to know of Louisville chapter members participating in Junior Achievement this year. For more information on Junior Achievement and needs in the Kentuckiana area, please go to <http://www.jaky.org/>.

IIA / ISACA Student Reception

As a reminder to members to stay tuned, the annual IIA / ISACA Student Reception will be held in January 2009 at the University of Louisville. Volunteers will be needed, and an exact date will be determined during the late October / early November time frame.

2008 Central Regional Conference

The 2008 Central Region Conference will be held November 2-5 at:

Hilton Columbus at Easton Town Center
3900 Chagrin Drive
Columbus, Ohio 43219

The theme of the conference will be *Navigating Auditor Skills in the New World Economy*. Reservations can be made via www.hiltoncolumbus.com or by calling 1-800-445-8667.

CHAIRPERSONS

Academic Relations

Dave Barker
University of Louisville
David.barker@louisville.edu

Membership/Attendance

Tim Brown
E.ON U.S.
membership@ialou.org

Audit

Will Metcalf
University of Louisville
wametc01@louisville.edu

CIA Program

Bob Aemmer
E.ON U.S.
bob.aemmer@eon-us.com

Newsletter Editor

Ken Bryan
Akebono
kbryan@akebono-usa.com

Government Relations

Jenni Schelling
Louisville, Jefferson Co. Metro
jenni.schelling@louisvillekv.gov

Seminars

Kevin Hitchcock
Al J. Schneider Co.
khitchcock@aishotels.com

2008-09 Calendar of Events

Louisville Chapter of the IIA

*additional seminars to be added as confirmed

- September 9, 2008** **Charlie Mattingly, Better Business Bureau**
- Local Fraud Issues (1 CPE)
- October 14, 2008** **Rich Williams & Eric George, Ernst & Young**
- Risk Assessment (1 CPE)
- November 11, 2008** **Crit Luallen, Auditor of Public Accounts**
- TBD (1 CPE)
- December 5, 2008** **Christopher Bauer, Speaker/Training**
- Ethics and Values Statements Seminar (5.5 CPEs)
- December 9, 2008** **Keith Wojcieszek, Secret Service**
- Counterfeiting (2 CPEs)
- January 13, 2009** **Elizabeth Burkhart, PricewaterhouseCoopers**
- IFRS (1 CPE)
- February 10, 2009** **Robbie Chitwood, Resources Global Professionals**
- Social Networking (1 CPE)
- February 20, 2009** **Hernan Murdock, MIS Training Institute**
- Using Risk Assessment to Build Individual Audit Programs Seminar (7 CPEs)
- March 10, 2009** **Bob Hill, Water Company**
- Governance (1 CPE)
- April 14, 2009** **Paul Tirey, Lisa Buckner and Tim Brown - E-On US**
- Continuous Auditing (2 CPEs)
- May 15, 2009** **Brandy Baltz, Harrah's Entertainment - Horseshoe**
- Social Meeting (1 CPE)

OTHER NEWS...

The CAP Chat:

We are off to a great start for CAP (Chapter Achievement Program) points with a total of 296 as of the end of August. The primary reason we are already over our goal YTD is due to having a larger than expected turnout at the regional officer workshop in Carmel, IN giving us an extra 30 points! It's great to be ahead of our goal prior to our first meeting of the year!

Just as a reminder, the three key areas evaluated are service to members, service to profession and chapter administration with the "Gold Status" requiring a minimum of 1560 points for the year (June 1, 2008 – May 31, 2009). However, the Louisville Chapter has established a Chapter CAP Goal for the year of 1655 points. For the complete YTD budget versus actual results, please see below.

IIA Louisville Chapter - CAP Goal Summary YTD						
	BUDGET				YTD Actual	YTD
	JUNE	JULY	AUG	TOTAL	TOTAL	Variance
SERVICE TO MEMBERS	-	5	-	5	5	-
SERVICE TO PROFESSION	27	27	84	137	137	-
CHAPTER ADMINISTRATION	42	20	60	122	154	32
CAP TOTALS	69	52	144	264	296	32

Louisville IIA Chapter Seminar Opportunity: Ethics and Values Statements (Two Integrated Programs)

When: Friday, December 5, 2008
Where: Sullivan University Louisville Campus
Cost: Members - \$200 (Ethics and Values Statements) / \$125 (Ethics Only)
 Nonmembers - \$225 (Ethics and Values Statements) / \$150 (Ethics Only)
CPE credits: 5.5 for both programs
Includes: Continental breakfast, lunch and dessert, and materials.
Presenter: Christopher Bauer, PhD

Morning Ethics Program (3 CPE Credits) – 8:30 am Breakfast, Program 9 am - Noon

This won't be a review of the ethics code, case studies, or case law! Instead, it will be a unique program designed to help assure that you and your entire organization are able to truly 'walk the talk' of great ethics.

Ethics and fun aren't two words you normally hear in the same sentence. However, Dr. Christopher Bauer has been making professional ethics both straightforward and fun for international audiences for more than twenty-five years. He will talk about ethics differently than you have probably ever experienced before. The tone will be conversational and the ideas immediately applicable. You will leave with easy, practical ideas and tools to reduce your risk for ethical and legal difficulties while simultaneously reducing the risk of your colleagues, coworkers and entire organizations.

What will you learn? This surprisingly humorous program will show you the ethics risks you never knew you had and what you can do right now to make sure those risks don't turn into potentially extremely costly problems. You'll also learn how to re-think your definition of ethics so that you can work through ethical challenges more easily, how to help yourself, colleagues, and coworkers keep ethical principles constantly in mind throughout the course of day-to-day decision-making, the essential do's and don'ts of confronting others on ethics issues, do's and don'ts of consulting on ethics concerns, and much, much more.

Afternoon Values Statements Program (2.5 CPE Credits) – 1:00 – 3:30 pm

Ultimately, our core values - whether stated, unstated, or misstated - actually drive all business decisions and are integral to every aspect of our performance on the job. Yet, neither most companies nor most accounting or auditing departments have values statements or, if they do, they have been written as a PR-type document rather than as a strategic tool able to drive better leadership, management, and achievement. Auditing professionals are even less likely to have personal values statements than are their companies or departments. Yet, creating one in the right way has incredible power to drive our achievement and satisfaction both on and off the job.

This program will provide you with the basics of writing (or re-writing) and implementing a values statement that will bring significant additional power to your leadership and management skills as well as an improved focus to the entire range of your professional activities. Time will also be taken to discuss ways to use personal values statements to increase your success and life-satisfaction while simultaneously improving performance on the job. Powerful stuff!

About Chris...

Christopher Bauer is a licensed psychologist with over twenty-five years of experience as a trainer, speaker, author, and consultant. Between coaching, speaking and consulting, he has worked with front-line workers to senior executives and everyone in-between. Clients of Dr. Bauer have run the gamut from small- and medium-sized businesses and organizations to every level of staff and management at Fortune 500 corporations.

Although ethics and fun are not words typically found in the same sentence, Dr. Bauer's programs have long been helping international audiences build and maintain great ethics while having a terrific time. His keynotes and seminars are frequently billed as "Serious Ethics Programs That'll Make You Laugh!"

Dr Bauer's articles on how to build and maintain great professional ethics have appeared in such journals as CEO Refresher, CFO Magazine, and many others. The latest edition of his book, "Better Ethics NOW: How To Avoid The Ethics Disaster You Never Saw Coming" has been a business ethics Top Seller on Amazon.com and he publishes a free "Weekly Ethics Thought" seen by thousands of subscribers worldwide.

Because of his unique contribution to the prevention of ethics problems, Dr. Bauer has been recognized with the prestigious Certified Fraud Specialist designation by the Association of Certified Fraud Specialists.

Additional information on Dr. Bauer's programs as well as a free subscription to the Weekly Ethics Thought is available at his website (www.bauerethicsseminars.com).

Payment information is as follows:

Organization _____ Address _____

Number of Member(s) Attending: _____ x \$200 (full day) = _____ Total to Remit

Number of Nonmember(s) Attending: _____ x \$225 (full day) = _____ Total to Remit

Number of Member(s) Attending: _____ x \$125 (Ethics-only) = _____ Total to Remit

Number of Nonmember(s) Attending: _____ x \$150 (Ethics-only) = _____ Total to Remit

For lunch, please indicate your selection:

- Sliced Roast Beef Sandwich _____
- Roasted Vegetable and Hummus Sandwich _____
- Grilled Chicken Caesar Salad with Roll _____

Name(s) of Attendee(s):

Remit Payment to: Louisville Chapter of IIA P.O. Box 70092 Louisville, KY 40270
Or pay via PayPal through the Chapter website at <http://www.ialou.org/meetsem/meetsem.htm>.

Kentucky Chapter of ISSA Information and Application Security Training

The Kentuckiana ISSA chapter is proud to present the 2008 - 6th Annual Louisville Metro Information Security Conference. "Expanding Your Reach – Managing Information Security in Dynamic Environments." This event showcases national and regionally known speakers in the field of Information Security for an audience of Technology & Business professionals. We will have 3 amazing keynote speakers: Kevin Beaver, Rohyt Belani, and John Strand. For more details or speaker Bios, please visit www.LouisvilleInfoSec.com.

But that's not all! We will also have renowned speakers in our "Technical" and "Business/Compliance" breakout sessions throughout the day, as well as Live Hacking and Security Demonstrations. Many top line vendors and service providers will be showcasing some of the latest in IT security technologies.

Breakfast and a catered Lunch will be FREE with conference admission. Plus, door prizes and giveaways, as well as a fabulous Grand Prize drawing during the closing session. You won't want to miss this!!

But wait, there's more!! As a bonus, one of our esteemed keynote speakers, Rohyt Belani, will be hosting a 2-day, pre-conference Application Security training course, on October 7th & 8th, and the University of Phoenix campus, 10400 Linn Station Road #120, Louisville, KY. This course can help you earn some of your CPE credits, while getting valuable information of one of the most current threats facing IT professionals and Developers. Anyone who attends this course, gets FREE admission to the InfoSec Conference—a \$99 value.

FOR MORE DETAILS: www.LouisvilleInfoSec.com or Chair@LouisvilleInfoSec.com.

National IIA News and training opportunities includes:

IIA Member Exchange (ME)

As much as The Institute of Internal Auditors is about ideas and products, more than anything it's about people. To help you connect with your colleagues, The IIA is pleased to offer you an exciting new networking tool - Member Exchange (ME). This service, available exclusively to IIA members, helps facilitate connections between all members of our community.

What Can I do With Member Exchange?

- Certification — Discuss test preparation suggestions for the CIA[®], CFSA, CCSA and CGAP examinations.
- Career Ladder — Network with other members to obtain training and professional development suggestions to assist you in your career growth.
- Connect with Other Members — Attend a local chapter meeting when you travel.
- "Day-to-day" Problem Solving — Get answers from industry professionals on common audit issues affecting you today.
- Best Practices — Obtain best practice advice from industry professionals.

How Does Member Exchange Work?

Upon logging in, you will be asked to review your profile, answer a series of questions, and complete an interactive menu that allows you to rank interests and words to describe yourself. You will then be plugged into a searchable, sortable universe of your IIA peers. You can match up with colleagues who share your interests, challenges or concerns. Using an intuitive "push-pin" interface, Member Exchange allows you to instantly visually identify fellow IIA members and how your common interests and needs coincide with theirs. You can send messages, upload documents, and begin making connections in a matter of minutes!

What Makes Member Exchange Different?

In our tech-savvy society, programs and Web sites similar to Member Exchange are becoming increasingly popular. Member Exchange, however, is one of the few sites that allow you to connect dynamically with other members of the internal audit community. Rather than joining static groups and making connections to others by initiating a link, Member Exchange gives you an instant visual of how members of the community relate to and intersect with your professional interests. The push-pin screen shows how other members' similarities and differences intersect by placing their "pins" closer or further away from your own. From this screen, you can narrow the results yet further. Want to find a chapter leader who is interested in taking the CIA, lives in Chicago, and speaks French as their primary language to form a CIA study group with? It's possible on Member Exchange!

To learn more about Member Exchange visit the IIA website at www.theiia.org/memberexchange/.

New IIA Study Examines How IT Controls Impact Operational Performance

This report studies how information technology (IT) controls impact operational performance. The study was designed to give IT audit and operations professionals empirical data about which IT controls have the biggest impact on operational performance, and about the effect of higher levels of IT control process maturity. The study did not look at how IT controls reduce risk, but instead focused on how IT controls that are often mandated by regulatory requirements also improve performance if implemented at sufficient levels of process maturity.

Findings include:

1. IT controls do improve operating performance, and some IT controls improve performance more than others.
2. IT controls impact the performance of smaller and larger organizations in different ways
3. This study confirms that organizations with higher levels of IT control process maturity have higher levels of IT operational performance

Each IT auditor needs to understand the risks and controls that are resident within the audited IT environment as well as the process maturity of the process being audited. This study provides the auditor with powerful, quantified research that outlines the value that these controls bring not only to mitigating the traditional IT audit risks, but to improving the operating effectiveness of the IT organization, improving its value to the organization as a whole. The result is that the IT auditor is now better enabled to be a valuable partner to the enterprise.

For a complete PDF viewing of the report go to www.theiia.org/recent-ii-news/?i=6752.

The IIA is Now Seeking Leadership Candidates for 2009-2010

Help to make a difference in your profession by sharing your personal and professional skills and strengths with The Institute of Internal Auditors. Your leadership and direction can contribute to strategic planning for the association, as well as the ongoing development of new products, services, and guidance for the profession.

With 160,000 members in 165 countries, The IIA has a broad range of volunteer opportunities at the local, regional, and international levels. Currently, The IIA is seeking qualified members who are willing to serve in the following positions:

- International Officers
- Board of Directors
- Institute Directors
- Directors-at-Large
- North American Directors
- The IIA Research Foundation Board of Trustees
- Members for 18 Committees
- District Advisors (North America)
- District Representatives (North America)

Nominations must be received by October 24, 2008. Forms received after October 24, 2008, will be considered for the 2010-2011 year. If you are elected or appointed to serve, your term will begin in May 2009. Serving as an IIA leader can introduce you to a world of opportunities. IIA members can learn more by reading [What Does It Take To Be An IIA Leader](#).

JOB OPPORTUNITIES AVAILABLE

Brown-Forman Corporation Audit Consultant Louisville, KY

Job Description Scope/Basic Function

The IT Audit Consultant assists in managing projects from beginning to end. These projects are typically related to Information Technology (IT) and focus on improving business systems and processes to enable management to achieve their business objectives. The IT Audit Consultant is responsible for planning and performing projects for the purpose of evaluating:

- The adequacy of IT internal controls associated with information systems and processes and the adherence of those processes to Brown-Forman's policies and procedures
- The effectiveness and efficiency of IT operations, assuring that Company resources are superbly allocated
- The accuracy, availability, and completeness of presented business information
- The effectiveness, efficiency, and adequacy of controls and related processes surrounding SAP and other automated business systems

The IT Audit Consultant requires frequent communication with IT management to develop and execute a comprehensive program of IT audit coverage for Brown-Forman. The scope of this coverage includes assessment of business/technology risks and evaluation of processes for Brown-Forman. In the performance of responsibilities, the IT Audit Consultant will effectively partner with IT directors, managers, and staff to assess and report on the IT control environment. The Company has significant worldwide operations that will necessitate travel (approximately 20%) both domestic and international.

This position is responsible for planning, organizing, and conducting IT audits that ensure the audit work fulfills the objectives and responsibilities established in the audit charter and that the work conforms to professional standards and departmental policies.

Responsibilities

- Plan and perform IT audits to ensure Brown-Forman internal controls and processes are compliant with Sarbanes-Oxley regulations.
- Execute the annual audit plan based on an assessment of business and technology risks. The IT audit plan should consider all information systems and expected technology changes. Report regularly on the plan's status and provide operating and senior management with an independent, objective and timely appraisal of each component audited.
- Conduct reviews that include, but are not limited to, integrated business process audits, pre- and post-implementation reviews of new processes and technologies, and information security audits.
- Conduct reviews to evaluate the control environment surrounding the technical operations of the Brown-Forman Technology Solutions Group to include the data center, desktop support, infrastructure security, user profile security, change management, backup systems, contingency planning, system interfaces, etc.
- Provide advice and guidance to management concerning IT internal controls by participating on project teams in a consultative role.
- Prepare audit reports that effectively and accurately communicate issues and recommendations to management and process owners. This includes working collaboratively with client management to determine opportunities for improvement and agreed upon management action plans.

Must Requirements:

- Bachelor's degree in Accounting, Business Administration, AIS/MIS (Accounting/Management Information Systems), Computer Science, or related field and 3+ years progressive IT auditing experience.
- Excellent interpersonal skills with ability to maintain effective working relationships with all levels of management and the ability to excel in a team environment with internal and external contacts.
- Experience (auditing, managing, or operating) with computerized accounting and financial systems within an ERP, network, database, and PC environment.
- Excellent written and verbal communication skills (including presentation skills at an executive level) and negotiating skills.
- Strong analytical and problem solving skills with ability to analyze business activities, identify problems and opportunities, and develop creative solutions. Demonstrated understanding of business processes.
- Self starter, including the ability to act on own initiative and take action with little opportunity for supervision.
- Demonstrated ability to adhere to a strict code of ethics in handling confidential information.
- Ability to develop and coordinate multiple projects and execute assignments to meet deadlines.

About Brown-Forman Corporation:

Brown-Forman Corporation is an independent, publicly-traded producer and marketer of premium spirits, wines, and other adult beverages, including Jack Daniel's Tennessee Whisky, Finlandia Vodka, Southern Comfort, Fetzer Vineyard California Wines, Korbel California Champagnes, Sonoma-Cutrer Estate Bottled Wines, Tuaca, and Bolla Italian Wines. Brown-Forman also owns Hartmann Luggage, based in Lebanon, Tennessee. For more information about Brown-Forman and our brands, please visit our website at www.brown-forman.com.

**Ernst & Young LLP
Technology & Security Risk Services Senior
Louisville, KY**

Ernst & Young, a global leader in professional services, is committed to restoring the public's trust in professional services firms and in the quality of financial reporting. Our 107,000 people in 140 countries pursue the highest levels of integrity, quality, and professionalism in providing a range of sophisticated services centered on auditing, accounting, tax, and transactions. We strive to help all of our people achieve both their professional and personal goals through an inclusive environment that values everyone's contributions, appreciates diversity of thought, fosters growth, and provides continuous opportunities for development. Recognized by organizations such as Fortune, Working Mother, and Training magazine, Ernst & Young continually strives to be a great place to work

Job Description: Within EY's Technology & Security Risk Services (TSRS) practice, the Senior participates in and supervises multiple client engagement teams and other related activities. Engagements focus on the assessment and/or evaluation of Information Technology (IT) systems and the mitigation of IT-related business risks. Engagements may be either assurance (attestation) and/or risk advisory in nature, and vary considerably in size and complexity. All of our TSRS services, whether assurance or advisory in nature, are designed for the dual purpose of strengthening internal controls and, in so doing, helping to improve IT and business performance. In addition to assurance-related engagements such as financial attestation and SAS 70 engagements, our IT risk advisory services focus on IT governance and effectiveness; IT program management and assurance; security and controls of Enterprise Resource Planning (ERP) implementations; and business intelligence and information analysis. This professional serves as a fieldwork leader to assist clients in employing proper information systems, resources, and controls to maximize efficiencies and minimize risk. The successful candidate will work with client personnel to analyze, evaluate, and enhance information systems facilitating the business internal control process, and will assist clients and other TSRS professionals in performing information technology control and security engagements.

Responsibilities: Collaborate with other members of the engagement team to plan the engagement and develop work program timelines, risk assessments, and other planning documents. Work with the engagement team to document the business processes dependent on information technology. Serve as a fieldwork leader by directing the daily progress of fieldwork, informing supervisors of engagement status, and managing staff performance. Demonstrate and apply a thorough understanding of complex information systems. Use knowledge of the current IT

environment and industry IT trends to identify the engagement and client service issues, and communicate this information to the engagement team and client management through written correspondence and verbal presentations. Demonstrate and apply strong project management skills, inspire teamwork and responsibility with engagement team members, and use current technology and tools to enhance the effectiveness of deliverables and services.

Ernst & Young LLP, an equal opportunity employer, values the diversity of our work force and the knowledge of our people.

Qualifications: To qualify, candidates must have:

- a bachelor's degree and approximately 2 years of related work experience; or a graduate degree and approximately 1-2 years of related work experience
- a degree in business, accounting, finance, computer science, information systems, engineering, or a related discipline
- a minimum of 18 months of experience working as an IT auditor or IT risk adviser for a public accounting firm, a professional services firm, or within industry
- significant experience in applying relevant technical knowledge in at least one of the following engagements: (a) financial statement audits; (b) internal or operational audits; (c) SAS 70 engagements; and/or (d) ERP security and control reviews (Oracle, SAP, PeopleSoft)
- project management skills
- strong written and verbal communication skills and presentation skills
- leadership, teamwork and client service skills
- demonstrated integrity within a professional environment

CPA, CA, CISA, CISSP, CISM, CBCP, CIA or CFE certification is desired; non-certified hires are required to become certified to be eligible for promotion to Manager.