



**GOLD  
CHAPTER  
2009**

# Derby City Disclosures

## Louisville IIA Chapter News

[www.iialou.org](http://www.iialou.org)

**February 2010**

### Special points of interest:

- Chapter Seminar this month
- Upcoming CAE Roundtable
- Student reception

### Welcome New Members!

Lerato Barney  
YUM Brands

Dan DePasquale  
YUM Brands

Amy Felker  
Humana

Jaime Kute  
YUM Brands

Joshua Petter  
Galen College of  
Nursing

Danny Reckterwald  
YUM Brands

John Richmond  
Humana

Paul Stachewicz  
Student

Rebecca Tharp

### Message from the President

People volunteer for many different reasons. Some may choose to volunteer to develop skills or gain experience, while others volunteer to socialize or even more volunteer to give something back to society or the organization they are involved in. They may also volunteer because they feel a moral duty or compelling reasons to take part in voluntary action or support a particular cause. The word volunteer is defined as “to choose to act in recognition of a need, with an attitude of social responsibility and without concern for monetary profit, going beyond one’s basic obligations.” The key element is that the person volunteering “chooses” to act. The Louisville Chapter is lucky to have several individuals that share their talents and volunteer their time to the organization. We are entering the time of year where we ask our members to consider volunteering to serve as an officer or committee member. We have been receiving names of several new people willing to step up and help the chapter. I encourage everyone to consider getting involved.

I mentioned in my first message that for years I attended meetings and chapter sponsored seminars but never took the step to get more involved. When I did begin volunteering three years ago, little did I know how much I would gain from the experience. I understand more clearly the importance of supporting the “profession of internal auditing.” Corey Koellner and I teased that we “drank the blue Kool-Aid” when we attended our first Leadership Conference. It is true, the profession of internal audit needs committed professionals to not only work with Companies to ensure their objectives are achieved but to also serve in the many local and international volunteer positions that support this noble profession.

I encourage you to consider becoming involved, by completing our year-end survey that we use to help create valuable chapter programs, attending the meetings and seminars which provides contacts and resources for relevant issues and volunteering to serve as an officer and committee member that help our members achieve their professional objectives. Be sure to *Experience the Opportunities* and become active in our local chapter. Volunteer Today!

Warmest regards,  
Jodi Renn



### Did You Know?

Our Chapter is actively involved in supporting the future of our profession in the local community. Our Chapter donated \$500 to the IIA’s Research Foundation, gave five \$100 awards to students attending Bellarmine University, Indiana University Southeast, Sullivan University, Spaulding University and University of Louisville, and \$250 to BASE Camp which is designed to introduce high school students to a career in accounting. The program is organized by the Kentucky Society of CPA’s and held at Bellarmine University in early June. At BASE Camp a representative from our chapter will speak to the participants about the Internal Audit profession.



**Member Spotlight—Tom Brevig, CHAE, CHTP**

Tom Brevig is the Director of Internal Audit with Atria Senior Living Group and is responsible for the development and oversight of their newly created internal audit function for their support center located in downtown Louisville. Most recently, he served as Atria’s Director of Procedures and Controls which included oversight of their community business office audits for their more than 120 senior living communities located throughout the country.

Prior to joining Atria in 2007, Tom was the Director of Finance for The Seelbach Hilton and served 12 years in various accounting and finance roles within the hospitality industry and 15 years as an owner/administrator of several assisted living facilities.

He and his wife of 30 years have two grown sons and two grandchildren. He is actively involved in his church where he serves as their Treasurer and is involved in children’s ministry. Tom enjoys spending time outdoors with his family and friends and especially enjoys time camping and hiking with his grandchildren.

**Member Social**

On Tuesday, January 19, 2010 the chapter sponsored a social event at Martini Italian Bistro. Members attending received free appetizers and opportunity to meet with other internal auditors in a relaxed atmosphere. Be sure to join us next time!



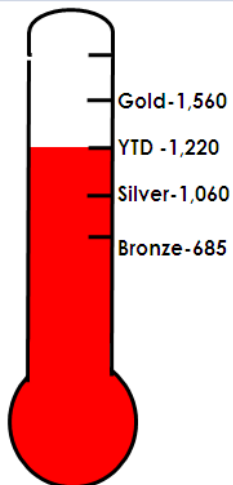
**Officer Elections**

Elections of new officers will be held at the March 9, 2010 monthly meeting. We will take nominations at the February meeting or via e-mail sent to Jodi Renn at [jrenn@kheslc.com](mailto:jrenn@kheslc.com). Nominations are due by February 26, 2010. Make a commitment and *Experience the Opportunities* of chapter leadership. You won’t regret the time you spend.

**CAP Update**

Our chapter has earned another 100 points in the last month, for a total of 1,220. As a reminder, GOLD status is 1,560 points, so we are only 340 points away from achieving our goal! In January, we held two chapter meetings and one social event. Thanks again to the members that participated in these events.

I would like to recognize Clark Spader, who was featured in last month’s Member Spotlight. Clark spoke about internal auditing to a class at the University of Louisville. Thank you for the service to your profession.



## February 9th Meeting—Bristol Bar & Grill

Privacy Issues — 1 CPE

Noon – 1 p.m.; Registration begins at 11:30 a.m.

Cost \$20 members/\$25 nonmembers

Menu Options:

- 1) COBB SALAD – diced chicken, diced tomatoes, green onions, hard-boiled egg, fresh avocado, Danish blue cheese & bacon. Served with a side of Balsamic Vinaigrette.
- 2) MIDEAST GRILLED CHEESE – flat bread stuffed with spinach, green onions, mushrooms, Havarti cheese & pan-fried.
- 3) THAI CHICKEN STIR-FRY – chicken stir-fried with peppers, onions, mushrooms, & snow peas in a Thai chili sauce over rice.

Please RSVP by 5pm on February 5th to [membership@ialou.org](mailto:membership@ialou.org) with menu selection.

### Speaker Profile: Jennifer Elliott

**Jennifer Landrum Elliott** is a partner in the law firm of Stites & Harbison PLLC, where she concentrates on regulatory and transactional health care law, including compliance with the federal Fraud and Abuse and Stark laws; HIPAA Privacy Rules; Certificate of Need laws; Medicare and Medicaid reimbursement rules; and professional and facility licensure issues. Her practice focuses on representation of physicians (including single and multi-specialty physician practices and state and local physician associations), non-profit hospital systems, and academic medical centers.

Jennifer will be discussing the effect of the HITECH Act on the HIPPA Privacy Rules.

### Attention Chief Audit Executives – Save the Date!

The Louisville Chapter is hosting a Chief Audit Executive (CAE) roundtable on March 9, 2010 from 2:30 – 5 p.m. You will receive 2 hours CPE. Steve Goepfert, Staff Vice President - Internal Audit (Chief Auditor) for Continental Airlines and former Chairman of the Board with the IIA will facilitate the event. Please plan to join other CAE's in the Chapter for this very informative event. More details will be coming in the next newsletter.

### Chapter Seminar

Friday, February 19, 2010 the Louisville Chapter will be hosting an 8 hour seminar entitled "Internal Investigations, Avoiding Investigation Pitfalls and Behavioral Indicators of Fraud." John Straub, CPA/CFE, CFE, CIA, CFFA a forensics expert and Laini Molaskie, M.B.A., PhD a professor and author will lead this engaging and interactive seminar. The cost for the event will include a continental breakfast and lunch. Early bird registration \$100 members/\$125 nonmembers (before February 1, 2010). See Registration form attached.

### Additional CPE Opportunity

Central Kentucky Chapter is hosting a 3 CPE event in Lexington on March 2, 2010 from 12 – 3 p.m. Donna Wiley, Chapter Support Manager with the IIA Headquarters will be speaking on the topic "IIA Stepping Stones to Success." In addition, a KSU professor will be speaking about "Women in Business and What it Takes to be Successful".

The meeting will be held at the Sheraton Four Points (take New Town Pike Exit off I-75) on Stanton Way. Registration begins at 11:30. The cost is \$30; you can register for the event at their website. [Click here to access their website.](#)

RSVP to  
[membership@ialou.org](mailto:membership@ialou.org)  
to register for meetings.  
You can pay for  
meetings at  
[www.ialou.org](http://www.ialou.org) using  
PayPal.







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## JA Done in a Day

The Louisville IIA chapter is looking for just 5 more volunteers to teach 11 classes at Sanders Elementary in February or March 2010 for a “JA Done in a Day” event. JA Done in a Day is a program that allows volunteers to teach five lessons in about 4 hours in one day as opposed to the traditional approach of visiting the classes once a week for five weeks for 50 minutes. If you haven’t participated in Junior Achievement before, it is a very simple program to teach and only your business experience is needed. JA provides a one hour training class (during the lunch hour) and provides a very easy step-by-step teacher manual and all the supplies and materials you’ll need. There is some lecture involved, but it is mostly activities and class interaction. For Done in a Day, you will be partnered with another IIA member, so you won’t be by yourself, and the teacher is also required to be in the room at all times to assist.

If you are unable to participate in JA Done in a Day, but still have an interest in teaching Junior Achievement to elementary, middle, or high school students – or – if you already plan to participate in Junior Achievement through your employer (teaching a class or helping with a fundraiser) anytime from June 1, 2009 – May 31, 2010, also email [Derrick.Singletary@syb.com](mailto:Derrick.Singletary@syb.com). The IIA would like to know of Louisville chapter members participating in Junior Achievement this year. For more information on Junior Achievement and needs in the Kentuckiana area, please go to <http://www.jaky.org/>. For those who have already indicated interest in participating, you should receive additional information by January 31, 2009.

### Background Information:

In 2005, The IIA signed a Memorandum of Understanding (MOU) with Junior Achievement (JA) Worldwide to partner in support of good governance and strong ethics in the business community. This partnership is helping to educate K- 12 students on business issues such as ethics, integrity, corporate accountability, and financial literacy. Through age-appropriate curricula, JA programs begin at the elementary school level, teaching children how they can impact the world around them as individuals, workers and consumers. IIA headquarters staff takes time to work as JA volunteers in the community to raise awareness of The IIA and how internal auditors bring value to an organization. Members are encouraged to volunteer with their local JA to make a difference to tomorrow's business professionals.

### Why Volunteer?

- The students need you! You can make a difference by helping shape someone's future.
- It is a chance to mentor young people who will soon be part of the work force.
- It is an opportunity to share your experiences and personal stories with young people.
- You can contribute something of value to your community.
- It can be a learning and self-development experience for you, too.
- Your profession needs you! You can explain to tomorrow’s professionals what internal auditors do and illustrate the value they bring to an organization.

**How Chapters Benefit:** In addition to helping our local JA office and teaching students in our community, the chapter earns Chapter Achievement Program (CAP) points for each volunteer for each activity.

Please contact  
[Derrick.Singletary@syb.com](mailto:Derrick.Singletary@syb.com)  
if you are interested in  
being a volunteer!

2009-2010 Schedule of Meetings				
Date	Time	Speaker	Topic	Location
2/9/2010	12 - 1pm	Jennifer Elliott	Privacy Issues	Bristol
2/19/2010	8 - 5pm	John Straub; Allen Rader	Internal Investigations	TBD
2/22/2010	4 - 6pm	IIA/ISACA	Student Reception	University Club
3/9/2010	12 - 1pm	Steve Goepfert	Fraud	Bristol
3/9/2010	3 - 5pm	Steve Goepfert	CAE Roundtable	TBD
4/13/2010	12 - 1pm	Renee Fulton	Confessions of a Micromanager	Bristol
4/20/2010	3:30 - 5pm	Anita Clemons	Economic Conditions	Rescare
5/7/2010	12 - 1pm	Tony Belak	TBD	Slugger Museum

### Student Reception

The Accounting and CIS student reception is scheduled for February 22, 2010 at the University Club at the University of Louisville from 4 to 6 PM. Students from all of the local colleges are encouraged to attend. This reception is jointly sponsored by the IIA and ISACA. Please plan to attend to meet and mingle with students from both of these disciplines.

### Survey Winner

Bob Aemmer won the January survey drawing and selected \$20 off a chapter meeting as his prize. To be eligible for the drawing, you must complete the meeting survey, including your name and select to participate in the drawing, you then choose from either a \$20 off a chapter meeting or \$20 off a chapter seminar. Thank you to everyone who took time to complete the survey and congratulations Bob!

### CIA Certification Information

The Louisville Chapter of the IIA and Sullivan University have scheduled two CIA Learning System Courses for the winter. The courses are scheduled on Monday and Wednesday nights from February 22 to March 17 and on Saturdays from February 27 to March 20. We appreciate your intended participation and hope that we will be able to help you with your future training needs including the CIA classes we have scheduled. To register please visit Sullivan University at <http://www.sullivan.edu/cia/>.

Please contact Bob Aemmer ([Bob.Aemmer@eon-us.com](mailto:Bob.Aemmer@eon-us.com)), Certifications Chair with any suggestions regarding the course and how we can better serve your needs. Questions regarding course registration can be directed to Richard Brien ([rbrien@sullivan.edu](mailto:rbrien@sullivan.edu)), Director Corporate Development & Training at Sullivan University.

The IIA's Certified Internal Auditor® (CIA®) is only one of four certifications offered by the institute. The IIA also offers three specialty certifications. They are the Certified Government Auditing Professional (CGAP), Certified Financial Services Auditor (CFSA), and Certification in Control Self-Assessment (CCSA). CIA candidates who have successfully completed the examination requirements for one of these professional certifications are eligible to receive credit for Part 4 of the CIA exam through the Professional Recognition Credit (PRC4) option, in lieu of sitting for Part 4. CIA candidates who have passed an IIA specialty certification exam will automatically receive PRC4 and do not need to register for PRC4 or submit further documentation. For more information regarding these certifications visit the IIA at <http://www.theiia.org/certification/certification-resources/>.

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## ADVERTISEMENT POLICY

**FREE** recruiting advertisements are available on the local IIA Chapter website and in the monthly newsletter! Any employer is eligible for free advertising IF at least one employee is a member of the Institute of Internal Auditors, Louisville Chapter. Executive Search Firms will be charged a nominal fee per month of \$50 for a ½ page or \$100 for a full page advertisement and one month of posting open positions on our webpage. Payment must be received prior to advertising/posting. For more information, contact the IIA Louisville Chapter President at [president@iialou.org](mailto:president@iialou.org) or Secretary at [secretary@iialou.org](mailto:secretary@iialou.org).



**The Institute of  
Internal Auditors  
Louisville Chapter**